

Quantitative structural assessment of heterogeneous catalysts by electron tomography

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Abstract

We present transmission electron microscope (TEM) tomography investigations of ruthenium-based fuel cell catalyst materials as employed in Direct Methanol Fuel Cells (DMFC). The digital three-dimensional representation of the samples does not only enable detailed studies on number, size and shape, but also on the local orientation of the ruthenium particles to their support and their freely accessible surface area. The shape analysis shows the ruthenium particles deviate significantly from spherical symmetry which increases their surface to volume ratio. The morphological studies help to understand the structure formation mechanisms during the fabrication as well as the high effectiveness of these catalysts in the oxygen reduction reaction at the cathode side of fuel cells.

1 Introduction

Platinum catalysts are essential in applications such as fuel cells. Due to the high cost of Pt, replacements are sought. The use of metal nanoparticles finely dispersed on different types of carbon black (with extremely enlarged surface) leads to a pronounced reduction of the necessary amount of Pt¹. To further reduce the costs, Ru as a less expensive and more reliable alternative was suggested as catalyst for the oxygen reduction reaction (ORR) at the cathode side of polymer-electrolyte fuel cells (PEFC)²⁻⁴. Ru-based ORR electro-catalysts modified with Se even feature a superior methanol tolerance^{5,6}. Such systems are of particular interest for direct methanol fuel cells in compact mixed reactant geometry (CMR-DMFC) where methanol tolerance is crucial^{7,8}. Intense studies of RuSe_x-catalysts have been performed⁷⁻¹⁰, but their ORR activity is still limited to 70% compared to Pt-based reference materials⁹⁻¹².

The optimization of electro-catalysts for oxygen reduction can be brought down to the necessity to tailor a three-phase boundary between the Nafion[®]-phase (supply of H⁺), the conductive carbon support (for e⁻ replenishment) and the catalytically active surface of the transition metal as the centre of the catalytic reaction. Hence, there

is a soaring interest in characterization techniques capable not only of estimating the overall active surface area of the metallic nanoparticles but also of accounting for particle sizes and shapes, spatial particle distribution and the porosity of the catalyst support.

Information from conventional characterization techniques such as XRD is limited to the average sizes of crystalline particles above 1.5 nm (derived from the Scherrer equation¹³) or to simple size/strain distributions (evaluated by the Warren-Averbach method). Hydrogen and CO chemisorption techniques come to their limits when the stoichiometry is uncertain due to, e.g. the formation of alloyed surfaces or the presence of activity promoters covering an unknown portion of the metallic surface. The electrochemical activity of oxygen reduction catalysts depends to a large extent on the accessible surface area of the metallic nanoparticles and their exposed facets. Conventional TEM is frequently used to characterize nano-particulate catalysts and valuable two-dimensional projected structural information can be extracted from these images. Still, a tool to visualize catalytically active nanostructures as virtual three-dimensional representations easy to perambulate and reliably to evaluate is highly desirable.

Electron tomography represents a powerful characterization technique^{14,15} for heterogeneous solid samples¹⁶⁻¹⁹. Most common are qualitative evaluations²⁰⁻²² or manual measurements^{23,24} depend-

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3 ing on the personal judgement of the scientist. Re-
4 cent advances and sophisticated data evaluation ex-
5 tend the field of applications of this technique^{25,26}
6 and make it even more attractive for the invest-
7 igation of heterogeneous catalysts^{27–29}. Carbon-
8 supported transition metal catalysts represent a
9 very suited system for such investigations since
10 the contrast between the supporting carbon mat-
11 rix and the catalytically active metals is sufficiently
12 large^{18,30}. The aim of the current contribution is
13 to present a detailed analysis of the size and shape
14 distribution of ruthenium nanoparticles supported
15 on carbon black used as a precursor for the prepar-
16 ation of highly active selenium modified RuSe_x/C
17 ORR-catalysts for the cathode side of PEFCs³¹.

18 Application of conventional reconstruction al-
19 gorithms yielded tomograms dominated by arte-
20 facts. If at all possible, particle extraction by ap-
21 propriate software required a high degree of the
22 operator’s personal judgement which can influence
23 results substantially. The novel DIRECTT al-
24 gorithm³² increases the reconstruction quality (in
25 particular regarding the spatial resolution and the
26 absolute contrast scale) tremendously so that the
27 need of image post-processing (filtering) was re-
28 duced to a minimum.

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30 New software was developed to create a set of
31 tools to automate the process of evaluating thou-
32 sands of particles. These programs have been de-
33 signed with a special emphasis on bringing down the
34 image analysis parameters to very basic properties
35 of the measurements to minimize the possible influ-
36 ence of personal judgement in the analysis. A re-
37 liable evaluation of the three-dimensional structure
38 of the catalyst and of the size and shape distribution
39 of ruthenium on the carbon support contributes to
40 the understanding of the fundamental chemical and
41 physical processes that make these types of cata-
42 lysts so effective.

43 2 Experimental

44 2.1 Sample preparation

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48 The commercially available carbon black Vulcan[®]
49 XC-72R obtained from CABOT Corp³³ was used
50 as starting material to prepare carbon-supported
51 ruthenium nanoparticles. Vulcan[®] XC-72R rep-
52 represents an industrial standard for conductive car-
53 bon blacks and is therefore widely used as a com-
54 mon catalyst support for fuel cells. The spec-
55 ific surface area determined by the BET-method
56 (³⁴) is about 230 m²/g. The specific pore
57 volume evaluated with the BJH-method (³⁵, in-
58 corporating volumes between carbon particles) is
59 0.62 cm³/g and 0.066 cm³/g with the t-plot method
60 (³⁶, only regarding mesopores but not micro-
pores). RuCl₃·xH₂O (Sigma-Aldrich[®], metal con-
tent 35 wt%) was used as Ru precursor for catalyst

preparation (100 mg Vulcan and 71 mg RuCl₃ in
200 ml H₂O). All solvents were dried over molecular
sieves before precursor solutions of appropriate Ru
concentration were prepared. RuCl₃ was dissolved
in water that had been purged with argon before.
The precursor solutions were placed in a round-
bottom flask at the end of a quartz tube which
was inserted into a split-hinge tube furnace. The
resulting suspensions were agitated by ultrasound,
after which the solvent was removed by rotary evap-
oration and the dried catalyst powder was heated
under hydrogen at a temperature of 200°C for
30 minutes. The resultant material was rinsed with
water to remove all chlorine-containing residues and
was finally dried in a desiccator. The investigated
carbon-black supported ruthenium particles were
prepared as described by ³⁷. For the preparation
of samples suitable for electron tomography, this
cloddish powder was dispersed in butanol by ultra-
sound. The dispersion was then dropped onto a
copper grid with a thin carbon foil containing fidu-
cial gold markers (Fig. 1) and dried in a furnace to
remove remnants of the butanol.

2.2 Data acquisition and procession

Electron tomography experiments were performed
with a Zeiss LIBRA 200FE[®] transmission electron
microscope (TEM) at the Helmholtz Centre Berlin
(HZB). Bright-field images were taken at 200 keV
while the sample was tilted from -69° to +74° with
an angular increment of 1°. X-y-z-tracking^{38,39} was
done by the Digital Micrograph tomography mod-
ule (Gatan). The images were aligned by fidu-
cial marker tracking using the software IMOD⁴⁰,
which revealed that the actual angles of projections
differed from the nominal angles as much as 0.2°.
Thus, tomographic reconstruction suffers from vari-
ous limitations (i.e. deviations from ideal complete
tomographic data): A limited range of tilt angles,
too few projections with respect to the detector
size of 2048x2048 pixels, partial opacity caused by
some of the gold markers, a non-equidistant set of
angles and a problem of the influence of object parts
outside the region-of-interest. Conventional recon-
struction software does not account well for all these
restrictions but the DIRECTT algorithm (Direct
Iterative Reconstruction of Computed Tomography
Trajectories^{32,41}) proved to perform excellently un-
der these conditions. DIRECTT represents a prom-
ising alternative to conventional algorithms such as
WBP (Weighted Back Projection) or SIRT (Sim-
ultaneous Iterative Reconstruction Technique). In-
stead of back projecting all sinogram values at once,
it traces single sinusoidal trajectories in Radon
space which are selected from the set of all possible
trajectories by criteria such as their angular aver-
aged (filtered) weight or contrast to adjacent tra-
jectories. These reconstruction elements are only

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2
3 partially added to an intermediate reconstruction.
4 The projection (Radon transform) of this recon-
5 struction is subtracted from the original (i.e. meas-
6 ured) data set in a next step. The obtained residual
7 sinogram is treated in the same way as in the sub-
8 sequent iteration steps until a pre-selected criterion
9 of convergence is reached. Data pre-processing com-
10 bined with the advantages of DIRECTT, in partic-
11 ular, extending the reconstruction region beyond
12 that given by the input image size⁴², proved to ef-
13 fectively eliminate disturbing streak artefacts.

14 In the resulting tomogram, each voxel value (grey
15 value) corresponds to the local attenuation coef-
16 ficient of the specific elements or phases. Each
17 element present in the sample can be assigned to
18 a segment of the tomogram with two appropriate
19 thresholds on the grey values. This step is called
20 'segmentation' and each segment represents a bin-
21 arization of the tomogram (e.g. bottom images of
22 Fig. 2).

23 The ruthenium segment was created applying the
24 Otsu-threshold criterion⁴³.[†] Therefore, decisions
25 by the operator were not required. Computer
26 analysis of the binarized tomogram segments en-
27 abled global measurements concerning ruthenium
28 and carbon and also measurements of each indi-
29 vidual ruthenium particle. The digital analysis was
30 carried out with the Insight Toolkit⁴⁴ (ITK), the
31 Visualization Toolkit⁴⁵ (VTK), octave⁴⁶, gnuplot⁴⁷
32 and rendered with Blender⁴⁸.

33 Although the reconstructions have few artefacts,
34 some ruthenium particles, that are very close to
35 each other, are connected because of limited spatial
36 resolution. Therefore, the particles were separated
37 by applying successively two distance map evalu-
38 ations and a watershed transformation^{49,50}. The
39 dependence on the second distance map was intro-
40 duced to control over- and under-segmentation by
41 ascertained separation and edge uncertainties. The
42 result is more realistic concerning number, size and
43 shape of the ruthenium particles with respect to the
44 original TEM images.
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47 3 Results

48 We describe the general properties of the catalyst
49 particles in Sec. 3.1. The global measurements of
50 the ruthenium and the carbon segments are presen-
51 ted in Sec. 3.2, followed by an evaluation of the
52 sphericity of the ruthenium particles (Sec. 3.3),
53 showing to which extent a size distribution under
54 the assumption of spherical symmetry (Sec. 3.4)
55 makes sense, motivating further investigations of
56 shape by fitting of ellipsoids (Sec. 3.5 and 3.6). The

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60 [†] The Otsu algorithm assumes that the image to be
thresholded contains two classes of pixels (e.g. foreground
and background) then calculates the optimum threshold
separating those two classes so that their combined spread
(intra-class variance) is minimal.

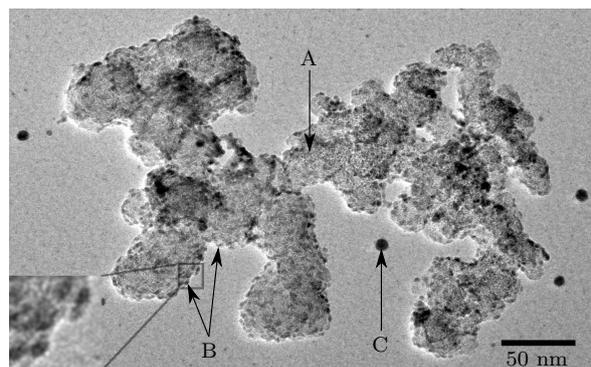


Figure 1: Bright-field TEM image of a catalyst particle

Bright-field TEM image of a catalyst particle showing ruthenium nanoparticles as dark spots supported by a Vulcan[®] XC-72R carbon black agglomerate. To the right of arrow A the C-particle is more amorphous, while to the left it has a more graphitic character. Arrows B point at ruthenium particles and C to a fiducial marker for the image alignment.

size distribution –although most particles are not spherical (see Fig. 1 and 2)– allows to compare the results with those from other methods such as X-ray diffraction (XRD) and anomalous small-angle X-ray scattering (ASAXS) (Sec. 4) which were based on spherical approximations. After the evaluation of the shapes of the ruthenium particles, the analysis is extended to the local carbon morphology in the vicinity of each ruthenium particle (Sec. 3.6 to 3.8).

3.1 Visual Assessment and 3D Reconstruction

Fig. 1 shows a bright-field TEM image of ruthenium catalyst nanoparticles (small dark spots) distributed over an agglomerate of Vulcan[®] XC-72 carbon black support (arrow A) deposited on a carbon foil. The carbon particle in Fig. 1 consists mainly of two fractions differing by their appearance and degree of graphitization. Most carbon particles are polycrystalline. As 'degree of graphitization' we designate the fraction of graphitic regions in an otherwise amorphous carbon particle. According to⁵¹, the structural properties of graphitic crystals are described adequately by: crystallite size, crystallite dimension in the a- and c-axis direction and the interlayer spacing. Although the model in Fig. 2 of Ref. 51 shows well-defined monocrystalline regions, the transition from graphitic to amorphous carbon is continuous (see e.g. Fig. 3 of Ref. 51). Therefore, the listed properties are not easily determined. Hence, we use the terms 'more amorphous' and 'more graphitic' to express the tendency of the local carbon structure. The tendency towards more graphitic carbon structure is expressed by a higher ordering of the graphene sheets and a more ball-shaped structure, creating the impression of onion-layers.

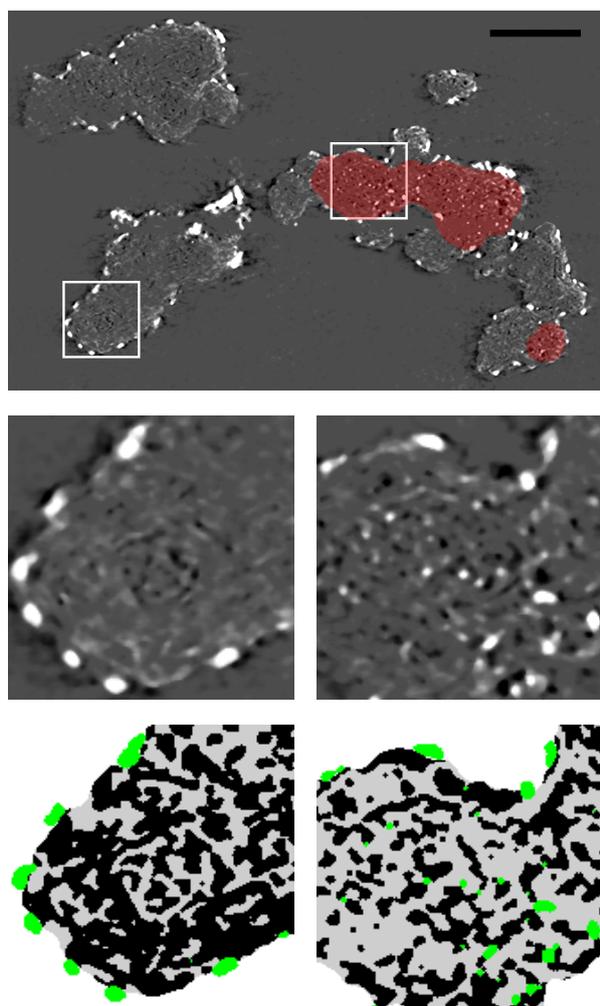


Figure 2: Cross section through the reconstructed tomogram

The upper image shows a cross section through the whole tomogram (contrast-inverted, scale bar 60 nm); red marks the regions that have a more amorphous-like carbon structure, the remaining carbon has a more graphitic character. The middle images show the framed regions of the two different structures in magnification. The result of the segmentation (before watershed separation) is shown in the bottom images.

In Fig. 1, larger branches of onion-like structure with diameters of around 50 nm (mostly on the left side of arrow A) are combined with smaller amorphous-like constituents forming irregular aggregates that vary from 10 nm to 30 nm in width (mostly on the right side of arrow A). The much smaller ruthenium particles range from 1 nm to 5 nm in size (see arrows B and inset) and are spread over the surface of the carbon support. Fiducial gold markers are visible as separate spherical dots on the support foil (arrow C) and were used for image alignment.

Fig. 2 shows a cross section through the median-filtered and segmented tomogram of the sample. The complete tomographic data set consists of many such slices covering the whole reconstructed volume. Most of the ruthenium particles are loc-

ated on the outer surface of the carbon support and are partially embedded. This is typical for onion-like structured carbon regions. Some ruthenium particles can also be found inside the carbon matrix (see images on the right in Fig. 2). These particles are much smaller than those on the surface. However, they can only be found at some locations. This observation is further evaluated in Sec. 3.7

3.2 Volume and surface measures of carbon and ruthenium segments

The different materials (grey values) of the tomogram (see Fig. 2) are assigned to different segments for further investigations. A cross section through the tomogram segments after removal of reconstruction artefacts is shown in the bottom images of Fig. 2: Carbon segment (black), ruthenium segment (green), the pore segment (diameter less than 5 nm, grey) and the surrounding empty space (white) of the tomogram.

The carbon segment

Carbon soot usually used as conductive and inert support for catalytically active metallic nanoparticles is a highly porous material. Since the contrast between carbon and the surrounding empty space is not high and the pores within the carbon matrix can be very small, the representation of the pores is less accurate than the representation of the outer contour of the carbon support.

Voids inside the carbon matrix with wall distances of less than 5 nm were treated as ‘pores’. A morphological closing operation⁵⁰ was applied to the carbon segment to create a carbon representation without pores. Three small voids inside the carbon are left after the closing operation. More important, the outer surface remains largely unchanged. These two representations enable us to discriminate between outer and inner surface of the carbon structure. Based on this, the carbon support particle in Fig. 1 has a volume of $V_{op} \approx 10.8 \cdot 10^5 \text{ nm}^3$ (volume with open pores) and a total surface area of $S_{op} \approx 12.3 \cdot 10^5 \text{ nm}^2$. The closing operation increased the volume by 52% to $V_{cp} \approx 16.4 \cdot 10^5 \text{ nm}^3$ (volume with closed pores) while the surface decreased to $S_{cp} \approx 2.69 \cdot 10^5 \text{ nm}^2$. The pore volume then is: $V_p = V_{cp} - V_{op} \approx 5.6 \cdot 10^5 \text{ nm}^3$; and the corresponding pore surface: $S_p = S_{op} - S_{cp} \approx 9.61 \cdot 10^5 \text{ nm}^2$. The ratio of the inner surface to the outer surface is $S_p/S_{cp} \approx 3.6$, and the surface-to-volume ratios are: $S_{op}/V_{op} \approx 1.14 \text{ nm}^{-1}$; $S_{cp}/V_{cp} \approx 0.16 \text{ nm}^{-1}$. These values are a measure of the surface roughness of the carbon support. The larger the roughness, the more sites there are for ruthenium particles to grow. The less carbon volume is necessary for this, the better the packing of the whole structure with

1
2
3 ruthenium particles. However, a very high packing
4 would be counter-productive since then the ruthe-
5 nium particles are less likely reached by the react-
6 ants during catalysis.

7 The specific surface area of the carbon deduced
8 from these values, $S_s = S/\rho_C/V$, lies between
9 100 m²/g and 500 m²/g and the specific pore
10 volume at around $V_s = V_p/\rho_C/V_{op} \approx 0.23$ cm³/g.[§]

11 The ruthenium segment

12
13
14 Before separation (see 2.2, denoted: bs), there are
15 about 2600 particles with a particle volume of at
16 least 64 voxels ≈ 1.12 nm³. The total Ru volume
17 is: $V_{bs} \approx 1.1 \cdot 10^5$ nm³ and the surface: $S_{bs} \approx$
18 $2.03 \cdot 10^5$ nm².

19 The ruthenium surface not covered by carbon
20 is evaluated by slightly dilating the carbon rep-
21 resentation, followed by a conversion of both seg-
22 ments into a mesh representation (see Sec. 3.8).
23 Now, the triangles of the ruthenium surface mesh
24 that do not reside inside the dilated carbon sup-
25 port are regarded as ‘uncovered ruthenium surface’
26 (denoted ubS: uncovered, before separation) and is
27 $S_{ubS} \approx 0.74 \cdot 10^5$ nm², which is about 36% of the
28 total ruthenium surface S_{bs} . This ratio of uncovered
29 ruthenium surface to total ruthenium surface (Σ) is
30 a key quantity for the effectiveness of the catalyst
31 and will be further discussed in Sec. 4.

32 After separation (denoted as), there are about
33 5700 particles (again $V > 64$ voxels) and a total
34 ruthenium volume of $V_{as} \approx 1.1 \cdot 10^5$ nm³.
35 Since no voxels are removed by the watershed al-
36 gorithm chosen, the overall ruthenium volume is
37 not changed. The surface, however, is increased by
38 11% to $S_{as} \approx 2.25 \cdot 10^5$ nm² due to the boundary
39 surface introduced. It is unclear though if this addi-
40 tional surface area is real. Even if, its contribu-
41 tion to catalysis would probably be insignificant be-
42 cause the distance to the nearby particles is too small
43 to allow for good accessibility of this additional sur-
44 face by the reactants. Therefore, in addition to Σ ,
45 we use S_{bs} and S_{ubS} for the calculation of the fol-
46 lowing values, which we think are important for a
47 comparison with other catalysts of similar type:

- 48 • Γ : The amount of uncovered ruthenium sur-
49 face per unit support surface characterizes the
50 degree of utilization of available support sur-
51 face,
- 52 • Θ : The amount of uncovered ruthenium sur-
53 face per unit Ru/C catalyst volume can be used
54 for the evaluation of space needed when load-
55 ing the cathode up to a specific catalytic active
56 surface,
- 57 • Ξ : The amount of uncovered ruthenium sur-
58 face related to the mass of the Ru/C catalyst

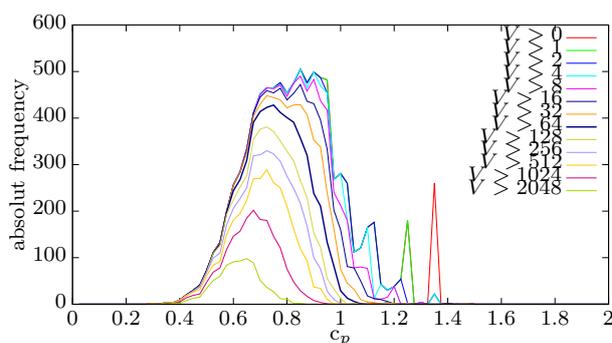


Figure 3: Sphericity of the ruthenium particles
Histogram plots of the sphericity c_p of the ruthenium
particles. Only particles whose volume (in voxel) was bigger
than the chosen threshold were considered in the correspond-
ing histogram.

is a more convenient measure when preparing
materials for catalyst production.[‡]

For the presented sample:

$$\Sigma = S_{ubS}/S_{bs} = 36\% \text{ (defined before),}$$

$$\Gamma = S_{ubS}/S_{op} = 6\%,$$

$$\Theta = S_{ubS}/(V_{cp} + V_{bs}) = 0.04 \text{ nm}^{-1} \text{ and}$$

$$\Xi = S_{ubS}/(\rho_C V_{op} + \rho_{Ru} V_{bs}) = 0.02 \text{ nm}^2/\text{g}.$$

3.3 Deviation from spherical sym- metry

Most ruthenium particles deviate from spherical
shape (see inset in Fig. 1 and Fig. 2). To quantify
this, the sphericity $c_p = 6\sqrt{\pi} V/S^{3/2}$ of the ru-
thenium particles was investigated. For a sphere
 $c_p = 1$, for any other shape $c_p < 1$.

Fig. 3 shows multiple histograms of the spe-
ricity. The histograms differ by their minimum
volume threshold. Particles with volumes below
this threshold are not included in the correspond-
ing histogram. Values above 1 are caused by er-
rors in the surface estimation of the small particles
(see for example⁵³). Such particles have to be ne-
glected. Therefore, we restrict our further analysis
to particle volumes above 64 voxel.

For any histogram in Fig. 3 with a minimum
volume threshold of at least 64 voxel, there are very
few particles that are actually spherical ($c_p = 1$).
The degree of deviation from spherical shape is
much more pronounced than expected from con-
ventional 2D TEM images and as commonly as-
sumed for such metallic nanocrystallites⁵. This
suggests fitting ellipsoids to the ruthenium particles

[‡] This is a quantity different from the ‘local catalyst loading’
as reported by e.g. ⁵² where the mass of catalyst per
support surface area is evaluated. There is no distinction
between total and uncovered catalyst surface in the ‘local
catalyst loading’.

[§] Using the density of Ru: $\rho_{Ru} = 12.37$ g/cm³ and a den-
sity of graphite: $\rho_C = 2.25$ g/cm³⁵¹. V_{op} and the density of
graphite are used because the density of graphite var-
ies less than that of amorphous carbon which would be
needed with V_{cp} .

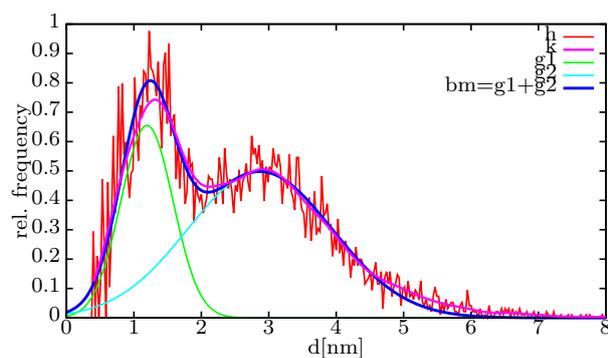


Figure 4: Ruthenium particle size distribution Histogram showing the distribution of the diameters of all representative ruthenium particles assumed to be spherical.

rather than simple spheres. Generally, it can be assumed that the particles have the form of truncated hexagonal bipyramids because Ru metal crystallizes in a hexagonal closed packed structure⁵⁴. However, the truncated bipyramids are expected to resemble shapes close to ellipsoids if the resolution of the tomographic dataset is insufficient to reveal facets. The shape of the resulting particle representations can range from prolate (cigar-shaped) to oblate (lentil-shaped) depending on the position of the truncation or preferential growth directions. The additional information of the ellipsoid fitting allows to estimate the significance of these influences.

3.4 Ruthenium particle size distribution

The size distribution of the ruthenium particles (Fig. 4) is given as a function of the diameter of a sphere with the same volume as the corresponding particle. The normalized histogram (h) has 300 bins and shows a noisy but distinct bimodal distribution of the particle diameters (particles with $c_p > 1$ were excluded). A kernel density (additive Gaussian functions) distribution plot (k) accounts for the uncertainty of the representation of ruthenium particles. This also reduces the noise and the bimodal distribution becomes more obvious. The distribution was decomposed into two Gaussian functions g1 and g2 centred at $d_1 = 1.2$ nm (variance 0.4 nm) and $d_2 = 2.8$ nm (variance 1.0 nm).

3.5 Shape analysis

Shape analysis is realized by fitting an ellipsoid to each particle after watershed separation. The parameters of the ellipsoid (axes lengths and axes orientations, position in space) are determined by the binary image moments^{55,56}. The fitted ellipsoids were scaled to have the same volume as the corresponding particles since this does not change the ratio of the lengths of the main axes: a:b:c (a:b:c-ratio from now on).

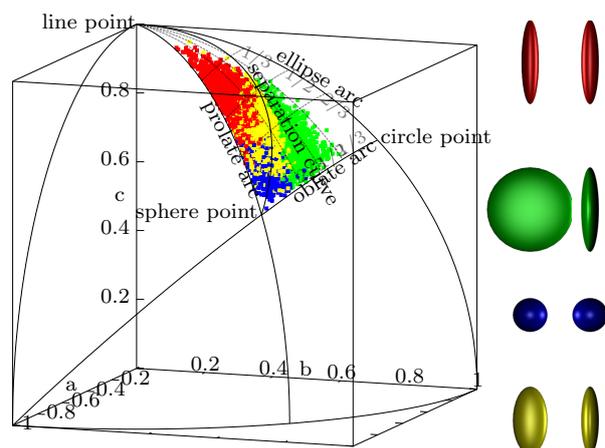


Figure 5: a:b:c-ratios of fitted ellipsoids Radial projection of the end-points along their point vectors of all a:b:c-ratios onto the unit sphere. The ellipsoids on the side represent the four different types in their extremes (second column: view rotated by 90°).

These a:b:c-ratios can be regarded as point vectors in 3D space. However, for the evaluation of the shape of each particle, its actual size, i.e. the actual length of the point vector, is of no importance. Therefore, all data points were radially projected onto the unit sphere, as displayed in Fig. 5.

We sorted the axes by their lengths $a < b < c$ which makes the naming distinct. This causes the points to be restricted to a rectangular spherical triangle on $1/48$ ($1/8 \cdot 1/6$, for symmetry reasons) of the unit sphere. The points on the triangle arcs correspond to special ellipsoids:

The prolate arc: $a = b < c \Leftrightarrow 1 = a/b < c/b$

The oblate arc: $a < b = c \Leftrightarrow a/b < c/b = 1$

The ellipse arc: $a = 0$

The naming of the axes does not correspond to the common naming in hexagonal systems. Prolate ellipsoids (cigar shape) have a rotational symmetry about the long axis (c), whereas the oblate ellipsoids (lentil shape) have a rotational symmetry about the small axis (a). The corner points of the spherical triangle in Fig. 5 correspond to even more special conditions of the ellipsoids:

The sphere point: $a = b = c$

The circle point: $a = 0 \wedge b = c$

The line point: $a = b = 0$

Exact prolate, oblate and spherical ellipsoids, i.e. with rotational symmetry, solely represent extreme geometrical cases that are unlikely to appear in reality. A large fraction of data points is mapped within significant distance away from the sphere point, indicating that the majority of particles are non-spherical in accordance to the result of Sec. 3.3.

A way to partition all possible ellipsoids into two definite classes is to define the separation condition $a/b = b/c$. Ellipsoids with $a/b < b/c$ are oblate-like, whereas those with $a/b > b/c$ are prolate-like. The

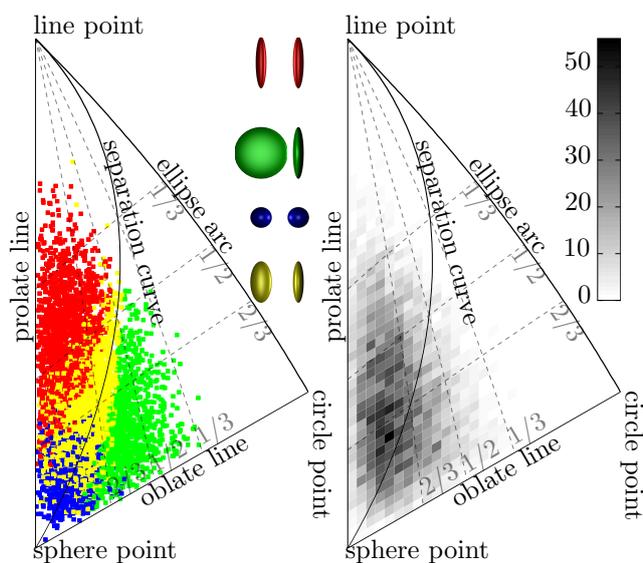


Figure 6: Stereographic projection of a:b:c-ratios and 2D-histogram

a) The stereographic projection of fig. 5.

b) 2D-histogram showing the distribution of the a:b:c-ratios.

condition $a/b = b/c$ corresponds to the case where the eccentricity of the ellipse in the a-b-plane equals the eccentricity of the ellipse in the b-c-plane. This defines the separation curve in Fig. 5.

Each a:b:c-ratio has an error because of the uncertainty in the particle representation. This can be regarded as an error box (not shown) around each point in Fig. 5. If the error permits the shape to be either prolate or oblate (i.e. the error box intersects with the separation curve), the shape is uncertain within the error limits. If, however, the error box includes the sphere point, the ellipsoid can be regarded as spherical within the error limits.

In Fig. 5 the colour of each point corresponds to its ellipsoid type: prolate: red (1783); oblate: green (983); spherical (within error limits, 676): blue; uncertain (within error limits, 2257): yellow. The broken lines ending at the oblate arc mark the corresponding a/b-ratios; those ending on the ellipse arc mark the corresponding b/c-ratios.

This spherical triangle is stereographically[¶] projected (Fig. 6a). The origin of projection was chosen to be the ‘sphere point’ such that the prolate and the oblate arcs from Fig. 5 are projected onto straight lines.

The true point density cannot be read from Fig. 6a due to overlap of points. The 2D histogram in Fig. 6b visualizes the actual point density distribution of a:b:c-ratios. The grey scale indicates the amount of a:b:c-ratio-points within a field, i.e. the number of particles whose a:b:c-ratios are similar. The fields are not rectangular to avoid underestimation along the ‘oblate line’. It is visible that the prolate-like ellipsoids dominate over the oblate-like

[¶] The stereographic projection causes less length/area distortion in the projected region than an orthographic projection would.

ones, since the densities in Fig. 6b are higher in the prolate region (red points in Fig. 6a) than in the oblate region (green points in Fig. 6a).

3.6 Spatial distribution of ruthenium particles

We investigated how the studied particles of different shape are distributed in space. For this, each ellipsoid is displayed at the centroid (barycentre or centre of ‘mass’) of the corresponding particle (Fig. 7).

Most of the spherical ellipsoids (blue) are small (Fig. 7) and are located inside (Fig. 8) the carbon support particle where the carbon seems to be predominantly amorphous (see Fig. 1 and 2). Limited spatial resolution can be a reason for some small particles to appear spherical. However, many other small particles, especially those located on the surface of the carbon support, have a distinct ellipsoidal shape despite their small volume.

This was further evaluated by removing the ellipsoids that are bigger than 2.2 nm^3 (128 voxel), which corresponds to a diameter exceeding 1.6 nm, close to the intersection of the two Gaussian functions in Fig. 4. Additionally, ellipsoids within a 5 nm (20 voxel) vicinity of the non-porous representation of the carbon support (grey surface in Fig. 8) were removed as well. Fig. 8 shows that the remaining ellipsoids dominate the right part of the carbon particle which is also the part identified as more amorphous-like by TEM (Fig. 1).

3.7 Local pore density of the carbon support

We analysed the correlation between the density of ruthenium particles inside the carbon support and the apparent carbon structure (crystalline/amorphous) more quantitatively. The approach to distinguish between the two forms makes use of their apparent different porous structure. For each voxel, a local pore density (lpd) was calculated, which is the ratio of the pore volume (pores below 5.2 nm diameter, see bottom images of Fig. 2) to the sum of the pore volume and the carbon volume (disregarding the outside space) within a vicinity sphere of radius R_v around each voxel.

For a vicinity of $R_v \approx 16 \text{ nm}$, the corresponding lpd_{16} shows a bimodal distribution (Fig. 9). The regions in the tomogram with $lpd_{16} > 0.43$ (black histogram part) were enclosed by a green surface mesh in Fig. 8. These are the regions which correspond to highly porous parts of the carbon particle.

The regions on the right can be assigned to the predominantly amorphous parts which have been identified in 3.1. However, on the left, there is also a region with a high lpd_{16} . This is because the more graphite-like carbon parts can also contain

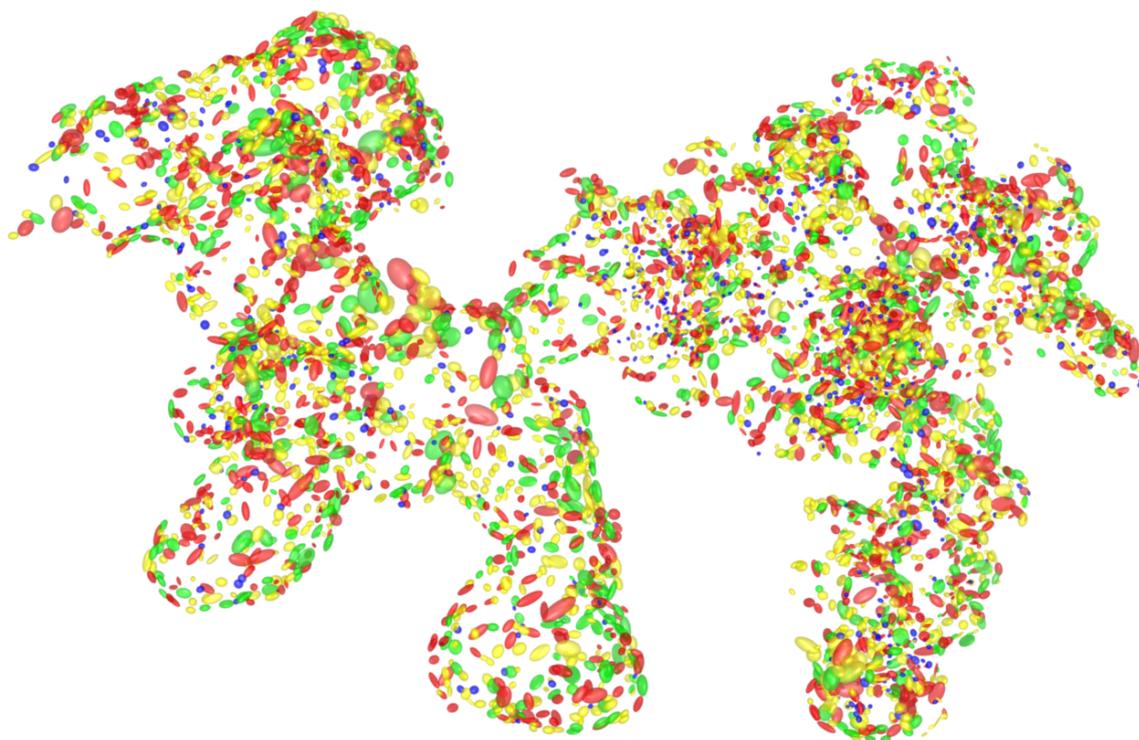


Figure 7: Spatial distribution of the fitted ellipsoids

Green: oblate, red: prolate, yellow: undistinguishable, blue: spherical within the error limits. The particle density is higher on the right side.

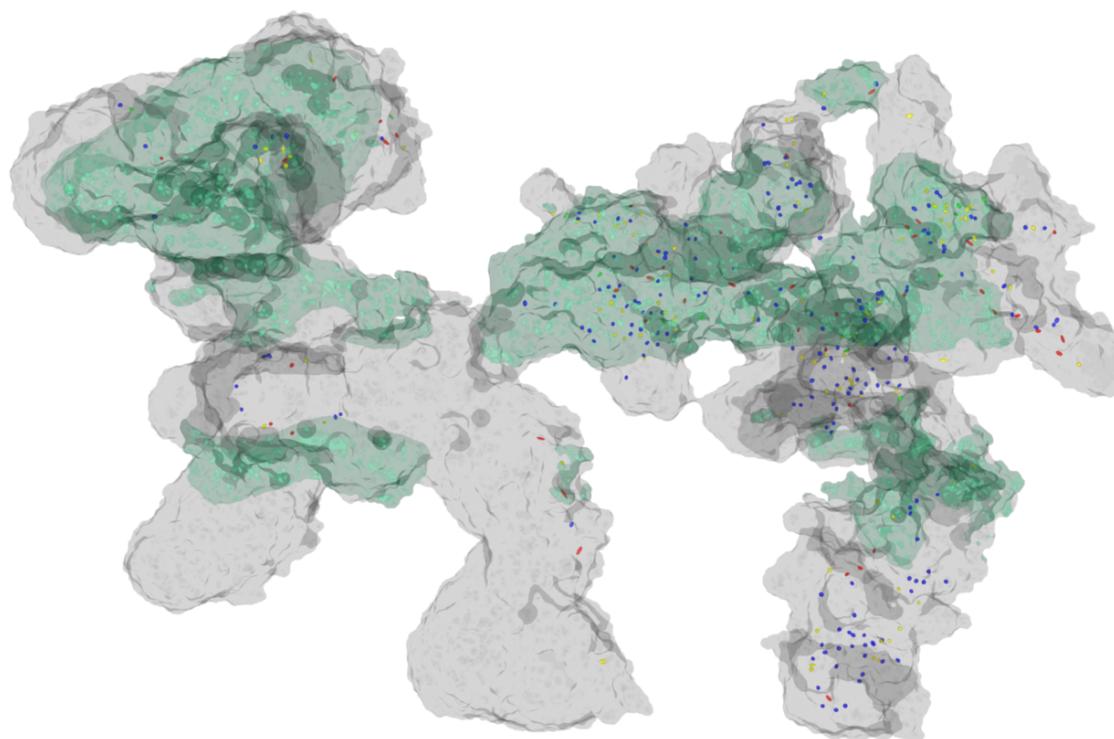


Figure 8: Relation of the inner ruthenium particles to the carbon support

The image shows only the small ellipsoids that are inside the outer carbon surface (grey). Most of the small inner ellipsoids are spherical (blue) and are located in the right side of the support. The light green surfaces enclose regions of the carbon particle with a higher local pore density. These surfaces originate from the thresholded results of the local pore density filter (lpd_{16}) described in Sec. 3.7.

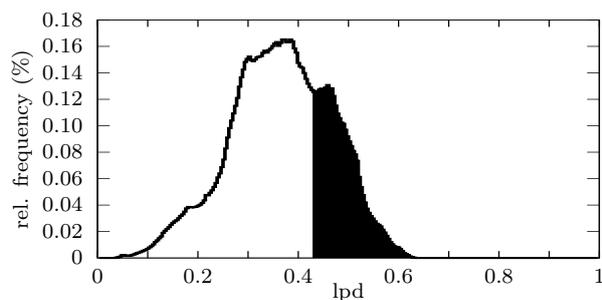


Figure 9: Histogram of the local pore density (lpd_{16})

This lpd_{16} is the local ratio (within a spherical vicinity of 16 nm radius) of the pore volume (pores below 5 nm diameter) to the sum of the pore volume and the carbon volume.

voids in the shape of bent plates between ‘onion layers’ which dominate the left side of the carbon particle (Fig. 2).

3.8 Orientation of the ruthenium particles on the carbon surface

The fitted ellipsoids not only allow for an evaluation of the particle shape but also for an analysis of the orientation of the ruthenium particles with respect to the carbon support. This can be quantified by the orientation of the mean local surface normal of the carbon support relative to the ellipsoid axes. The voxel representation of the carbon support particle has to be converted to a surface mesh to derive a mean local surface normal. The discrete-marching-cubes algorithm^{45,57} in combination with a windowed-sinc-smoothing filter^{45,58} was used to create such a mesh representation of the carbon support surface consisting only of triangles. Fig. 10 demonstrates this approach. For the estimation of the local mean normal, the vector sum of triangle normals weighted by their triangle area is calculated. The summation is over all surface triangles of the carbon support that reside inside the ellipsoid. The triangles of the carbon mesh inside the ellipsoid are highlighted in Fig. 10. The direction of the mean surface normal is indicated by the line originating from the centre of the ellipsoid. Note that triangles not visible in Fig. 10 also contribute to the normal calculation.

The upper image in Fig. 10 shows that this estimation can be inappropriate if the ellipsoid only encloses very few triangles of the carbon surface. The ellipsoid was inflated by about 1 nm in all directions to improve the estimation (see lower image in Fig. 10). After this inflation, many more triangles are considered in the calculation of the mean local carbon surface normal. It only makes sense to consider ellipsoids near the carbon surface, i.e. that intersect with the smoothed mesh of the carbon surface.

Finally, the orientation of the local surface normal relative to the axes of the ellipsoid can be eval-

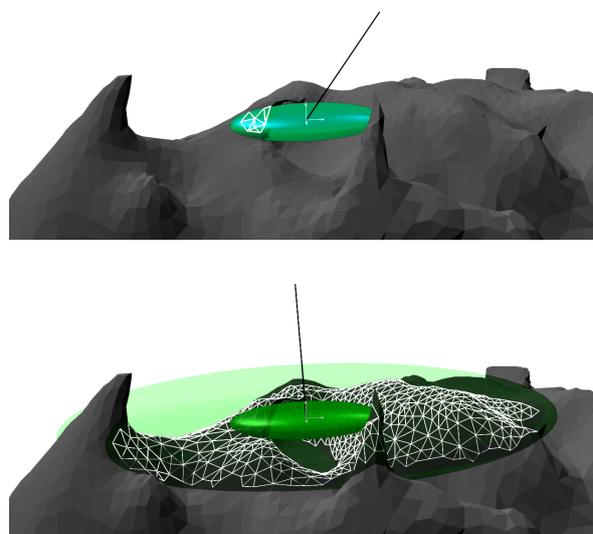


Figure 10: Determination of a local surface normal of the carbon support (schematic)

Upper image: A fitted ellipsoid representing a non-spherical ruthenium particle on the surface of the carbon support and the local carbon surface normal (black line).

Lower image: The ellipsoid and an up-scaled one (transparent). The triangles contributing to the surface normal calculation increased significantly yielding a more appropriate estimation of the average surface normal.

uated. A graphical visualisation of these results can be obtained by regarding the orientation of the local surface normal as a point on the unit sphere. The coordinate system implied by the ellipsoid’s axes can be chosen such that all angles are $\leq 90^\circ$. This makes the points of all local normals lie within the spherical triangle of the first quadrant and allows to combine the data in a single plot. Fig. 11 shows the stereographic projection (along [111]) of this quadrant. As before, the point density is visualized by a 2D-histogram. The corners of the projected spherical triangle correspond to the directions of the ellipsoid axes.

Most particles are oriented in such a way that the local surface normals of the carbon support point along the a-axis which is by definition the smallest of the ellipsoid’s axes. As a preliminary conclusion, this means that most particles stick to the carbon in such a way that they are in a potential minimum of the attractive forces of the carbon surface, i.e. they stick to the carbon support with their least curved (‘flattest’) side. For a nearly even surface this also means that the contact area of the slightly embedded particles to the carbon surface is maximized, as generally expected.

However, the 2D-histogram in Fig. 11 shows a significant deviation from the expected radial distribution around the a-axis point in the top corner. A larger fraction of particles can be found along the arc connecting the a-axis and the b-axis (see inset

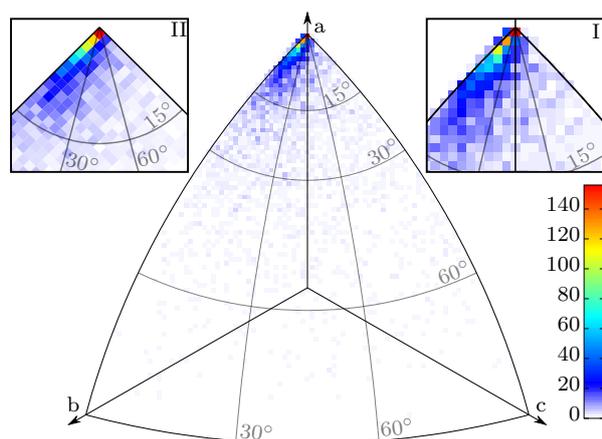


Figure 11: Orientation tendency of the ellipsoids to the local support surface

Inlay I and II show the region around the a-axis magnified by two. Inlay II: As most points are close (up to 15°) to the a-axis [100] it is sensible to project stereographically along [100]. This allows to circumvent the under sampling of the histogram fields along the edges.

II in Fig. 11). This suggests that a statistically significant number of particles not only contact the carbon support with their largest side but also with the next smaller side. Such cases can be explained by particles aligned along steps of graphene layers on the outer surface of the carbon support (as will be explained in Fig. 12).

4 Discussion

The presented methods provide a very detailed insight into the morphology of the samples studied and allow to draw conclusions about important processes taking place during production but also during catalysis. The methods of digital image analysis developed are not restricted to TEM tomography but could also be applied to any 3D tomographic dataset, e.g. obtained by X-ray tomography.

Carbon-supported ruthenium nanoparticles may be used to catalyse the oxygen reduction reaction at the cathode side of fuel cells. However, their catalytic activity for this reaction can be significantly enhanced by decorating the surface of the ruthenium particles with Se. This yields a catalyst with commercial significance. As the structure predetermined by Ru/C can be analysed unambiguously by TEM tomography, the results should also be valid for RuSe_x/C catalysts since selenization does not alter the material's morphology above the resolution limit of TEM tomography⁵. Thus, we used a Se-free Ru/C intermediate which represents the final morphology despite the simplification.

Neutron activation analysis (NAA) yields a mass ratio of ruthenium to carbon of $m_{Ru}/m_C = 20.6\%$.

This is equivalent to a volume ratio^{||} of V_{Ru}/V_C ranging from 3.3% to 4.2%; the same ratio evaluated from the tomogram ranges from 3.7% to 28% (determined by erosion and dilation of the segments).

The specific surface area of the used carbon evaluated by the BET-method ($230 \text{ m}^2/\text{g}$) lies within the range determined by electron tomography (S_s ranging from $100 \text{ m}^2/\text{g}$ to $500 \text{ m}^2/\text{g}$). Values for the specific surface area of Vulcan reported in literature range from around $100 \text{ m}^2/\text{g}$ to $300 \text{ m}^2/\text{g}$.⁵⁹ The higher limit for S_s derived from electron tomography is reasonable taking into account that Vulcan carbon particles exhibit also highly porous parts (see Sec. 3.7) which are comparable to 'Black Pearl' carbon (whose specific surface area reaches up to about $1500 \text{ m}^2/\text{g}$ ⁵⁹). Depending on the amount of highly porous regions within a carbon particle, the value for S_s determined by electron tomography will vary in regard to that of integral methods such as BET.

The specific pore volume evaluated by the BJH-method ($0.62 \text{ cm}^3/\text{g}$) also incorporates volumes between carbon particles whereas the t-plot method ($0.066 \text{ cm}^3/\text{g}$) only regards mesopores but not micropores. The specific pore volume derived from electron tomography ($V_s \approx 0.23 \text{ cm}^3/\text{g}$) lies in between because only pores below 5 nm including micropores are regarded.

TEM tomography shows that most ruthenium particles are formed on the outer surface of the carbon support particles. The carbon support has different structures within it, one is more amorphous and the other more graphitic in character. These two carbon structures affect the formation of the ruthenium particles. The ruthenium particles have a preference to grow on the outer surface, but in the amorphous parts small ruthenium particles also exist inside the support.

Most ruthenium particles are found on the outer surface although the inner surface is about 3.6 times larger than the outer surface. This is due to the limited or restricted supply of RuCl₃ during the formation process of the ruthenium particles: RuCl₃ solution can penetrate deep into the pore system of the amorphous carbon because it has shorter and more direct connections to the outer surface (see Fig. 2). The pores found in this regions are comparable with types (c) (d) (e), sketched in the schematic cross section in Fig. 1 of Ref. 60. The pores or cavities (like type (a) in Ref. 60) in the more graphitic regions –the regions that are like layers of onions– have little or no direct connections to the outer surface which prevents the RuCl₃ solution from penetrating deep into this pore system.

^{||} Using the density of Ru: $\rho_{Ru} = 12.37 \text{ g}/\text{cm}^3$ and the density of graphite ρ_C ranging from $2.0 \text{ g}/\text{cm}^3$ to $2.5 \text{ g}/\text{cm}^3$. The density of graphite is used because the pore volume is not included in the ratio calculation from the tomogram measurements.

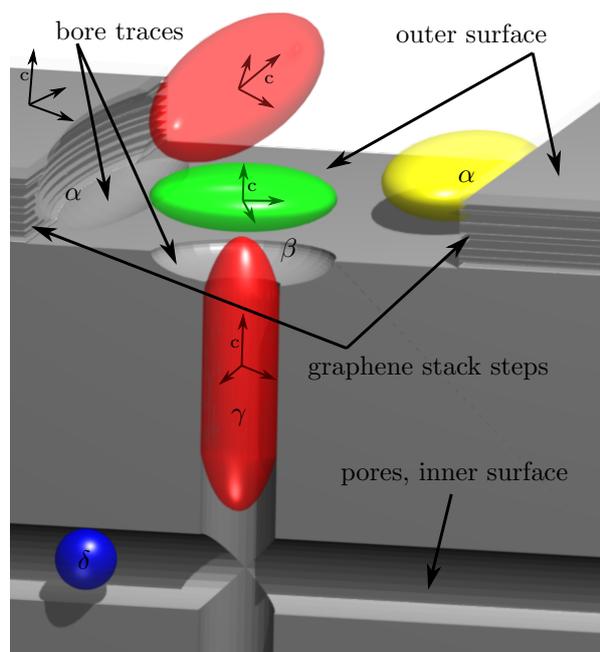


Figure 12: Schematic image of the ruthenium particle positions

Schematic cut-out of the structure to visualize the idealized positions of ruthenium particles related to features of the carbon support. Ruthenium particles are represented by their most likely type of fit-ellipsoid coloured according to Fig. 6. The particle positions at a step of a stack of graphene layers is marked by α . Pores can be closed (position β) by oblate or prolate particles or a combination of the two types. Particles inside pores close to the outer surface (position γ) can grow until their shape is restricted by the pore. Particle positions deep inside the pore system are denoted by δ . Two ellipsoids are slightly lifted from the surface to reveal their embedding/bore traces in the carbon. Local *crystallographic* coordinate systems are drawn for some ruthenium particles and graphite.

Supply of RuCl_3 solution is important for the formation of ruthenium particles. If the supply in the pores is limited or even ceases, because the connection to the RuCl_3 reservoir is blocked by precipitation of the liquid close to the entries of micropores, the number of ruthenium particles formed after hydrogen treatment is limited and the particle shape is then defined by the size and the geometry of the micropore (see Fig. 12). Therefore, the particles that form inside the pore system (blue ellipsoid in Fig. 12) cannot grow as much as the particles on the outer surface. Furthermore, only very few particles can grow in the more graphitic regions since the pore system here has even fewer connections to the outer RuCl_3 supply. This explains why only very few ruthenium particles are inside the part left of arrow A (Fig. 1) despite the high *lpd*, but also why the ruthenium particles in the more amorphous part on the right are small.

Hence, the large inner surface of the carbon support contains fewer ruthenium particles than the outer surface and therefore the inner surface and its ruthenium particles contribute less to the over-

all catalytic effectiveness of the material. In other words, the increase of the surface-to-volume ratio by the inner surface (from 0.16 nm^{-1} to 1.14 nm^{-1}) has less effect than an increase of the ratio by additional outer surface would have. Space in the pores is too restricted (i.e. the pore volume is too small) for the pore system to have the same importance on catalysis as the outer structure. This holds with respect to the amount of catalytic sites but also regarding the accessibility of these sites by the reactants.

One third of the total ruthenium surface has no interface with the carbon support. One explanation for this low amount is the confinement of the particles inside the pores and the partial embedding of the outer particles into the carbon support (see Fig. 12). This means that only one third of the total surface of the ruthenium particles present in the investigated sample can contribute to the catalytic active surface in the process of the oxygen reduction reaction at the cathode side in a fuel cell. This result can tentatively be explained by particle formation as a result of the interaction of the RuCl_3 precursor adsorbed on the carbon surface and the subsequent reduction of it by hydrogen. Nucleation of metal particles is most likely to take place in pores and along steps/kink sites of graphene layers at the outer surface of the carbon support. Homogeneous nucleation can be expected in amorphous cavities of the carbon support. Formation of (001), (100), (011) and facets of equivalent symmetry is most likely due to their low surface energies⁵⁴. These crystallites have the shape of truncated hexagonal bipyramids occasionally combined with the facets of a hexagonal prism. Most projections of such nanoparticles are nearly spherical under limited resolution as reported by⁵⁴. Elongated particles are expected to grow preferentially along the hcp c-axis of metallic ruthenium. We assume that they grow either along micropores (position γ in Fig. 12, generally oriented in the [001] direction of the graphite, i.e. perpendicular to graphene layers) or along steps of graphene stacks on top of the graphite substrate (position α in Fig. 12, c-axis of the metallic ruthenium perpendicular to the [001] direction of the graphene layers). Particles at position α in Fig. 12 would explain the spread towards the b-axis in Fig. 11. Not only the ‘flattest’ side touches the carbon support but also the ‘next flattest’ side, which sticks to the step of a stack of graphene layers. Particles that nucleate on top of micropore entries (position β in Fig. 12) presumably form tabular ellipsoids by interaction of the (001) ruthenium facet with the graphene surface (epitaxial orientation).

The two thirds of the ruthenium surface that touch the carbon support are also important since electrons have to be conducted from the carbon support to the ruthenium particle during catalysis in the fuel cell. The larger the contact area of the ru-

1
2
3 thenium particles to the carbon support, the higher
4 is the conductance. We therefore expect the quanti-
5 ty Σ (the ratio of uncovered to total ruthenium
6 surface) to possess an optimal value. If Σ is close
7 to 0, the amount of uncovered ruthenium surface is
8 low and limits catalytic activity, if Σ is close to 1,
9 catalytic activity is limited due to insufficient con-
10 duction of electrons. Further experiments would be
11 necessary to find out if $\Sigma = 0.36$ is close to the
12 optimal value.

13 The ruthenium particle size distribution, assum-
14 ing spherical symmetry, yields two diameters, the
15 larger one at 2.8 nm with a variance of 1 nm.
16 This result is comparable to the diameters evalu-
17 ated by other methods: ASAXS¹¹: 2.5 nm; XRD¹¹:
18 2.2 nm; BF-TEM: 3±1 nm. If only one Gaus-
19 sian function (as for ASAXS and XRD) is fitted
20 to the size distribution in Fig. 3.4 the resulting
21 mean diameter is about 2.5 nm. We explain the
22 slightly higher estimate from TEM images by the
23 actual deviation from spherical symmetry and the
24 fact that isotropically oriented ellipsoidal particles
25 generally appear bigger in a projection than the di-
26 ameter estimated by an equal volume approach (as
27 in Fig. 3.4). In addition, particle selection by a sci-
28 entist tends to lead to a choice of larger particles
29 since smaller ones are more easily overlooked.

30 As any deviation of the ruthenium particles from
31 spherical shape increases their surface-to-volume
32 ratio, more surface is available for catalysis without
33 an increase in material. The result is a more effect-
34 ive catalyst at the same material costs.

35 Particle shape analysis based on an approxima-
36 tion by ellipsoids shows that most particles (about
37 40% of 5700) have an undefined shape, i.e. neither
38 prolate, oblate nor spherical. However, there are
39 about twice as many prolate particles as oblate
40 particles (prolate:oblate = 2:1). This ratio is not
41 affected much by the separation procedure (without
42 separation it is 936:422) nor is the number of spher-
43 ical particles increased significantly (412 before and
44 676 after separation). The shape of the ruthe-
45 nium particles can be an indicator for preferential
46 growth directions that can lead to differently sized
47 and oriented facets. These preferential growth di-
48 rections can be along edges of graphene layers on the
49 outer surface or along pores of the support material
50 (see Fig. 12). Metallic hcp-Ru nanoparticles usu-
51 ally expose surfaces with different crystallographic
52 orientation to the chemical reactants, likely featur-
53 ing different catalytic activity depending on the size
54 and orientation of the facets. Therefore, the ratio
55 between prolate and oblate nanoparticles should be
56 considered as one factor influencing the overall cata-
57 lytic activity.

58 The ruthenium particle representations are ex-
59 pected to be affected by the ‘missing wedge’⁶¹
60 which causes an artificial elongation of the ruthe-
nium particle representation. This will result in

a tendency towards prolate ellipsoids in the shape
analysis. Therefore, the actual ratio of prolate
to oblate-shaped particles will be more balanced
than 2:1 (result from Sec. 3.5). However, an ac-
tual deviation from spherical symmetry is defin-
itely present due to the significant number of oblate
particles. The effect of the missing wedge on spher-
ical particles would only lead to prolate particle rep-
resentations. Additionally, the fact that in most
cases the a-axis of the ellipsoid is aligned paral-
lel to the local surface normal (see Sec. 3.8) rules
out a significant effect of the missing wedge in the
DIRECTT reconstruction. An elongation of the
particles caused by the missing wedge would not
be isotropic but only along one global axis which
is in conflict with the result obtained by the local
alignment analysis (Sec. 3.8) since the orientation
of the local carbon surface normal can be expected
to be isotropic.

5 Conclusions

We have demonstrated that TEM tomography can
provide a quantitative structure analysis of cata-
lytically active ruthenium nanoparticles supported
by carbon. The size distribution of the ruthenium
particles is bimodal. Particles from the smaller
mode are formed preferentially within the more
amorphous parts of the support, whereas larger
particles are formed on the outer surface of the sup-
port. Fitting ellipsoids to the individual particles
reveals that most particles are not spherical and
the ratio of prolate to oblate particle numbers is
about 2:1. The analysis of the alignment of the ru-
thenium particles with respect to the local support
surface suggests that prolate particles presumably
form along the edges of graphene sheets on the sup-
port or grow along pores. One factor influencing the
overall catalytic activity is the ratio between prolate
and oblate nanoparticles since it can indicate pref-
erential growth directions which lead to differently
sized facets. Thus, TEM tomography has proven to
yield valuable information about the distinct nano-
structure of different classes of catalytically active
particles in general. Their individual contribution
to the overall catalytic activity should be considered
in further investigations to optimise the oxygen re-
duction performance of, e.g. carbon-supported sel-
enium modified ruthenium catalysts (RuSe_x/C).

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