

Influence of Sr on the formation of Fe-rich phases in Al-10wt% Si casting alloys

M. Timpel^{a,*}, N. Wanderka^a, R. Grothausmann^a, J. Banhart^{a,b}

^a*Helmholtz-Zentrum Berlin für Materialien und Energie GmbH, Hahn-Meitner-Platz 1, D-14109 Berlin, Germany*

^b*Technische Universität Berlin, Werkstoffwissenschaften und -Technologien, Hardenbergstr. 36, 10623 Berlin, Germany*

Abstract

The addition of Sr to Al-Si-based alloys is known to modify the morphology of eutectic Si and influences the size of eutectic grains. Knowledge of the distribution and morphology of constituent phases in the eutectic grains such as Fe-rich intermetallic phases can yield an insight into the eutectic grain structure formed during solidification. The effect of Sr addition to an Al-10Si alloy and its influence on the formation of Fe-rich phases was studied by comparison with unmodified eutectic grain structure. The analysis with transmission electron microscopy revealed existence of two types of Fe-rich phases (α -Al₁₄Fe₃Si₂ and δ -Al₄FeSi₂) in Sr-modified Al-10Si alloy and only small-scale Fe-rich α -phases in the unmodified alloy. The three-dimensional morphology of eutectic Si and Fe-rich phases was investigated with focused ion beam tomography. The Fe-rich α -phase was found to form in concentrated networks of sheet-shaped inclusions whereas the Fe-rich δ -phase exists as thin platelets. The evolution of eutectic grains and locations of Fe-rich

*Corresponding author

Email address: melanie.timpel@helmholtz-berlin.de (M. Timpel)

phases within the eutectic grain structure are described in detail.

Keywords:

FIB tomography, Al-Si alloys, Sr modification, Fe-rich phases, Eutectic solidification

1. Introduction

Treatment of Al-Si melts through the addition of microstructure-modifying elements such as Na or Sr is common practice to improve mechanical properties of Al-Si casting components [1]. In the past few decades, many researchers have investigated the effect of modifiers on the Al-Si eutectic microstructure, especially the influence on eutectic nucleation and growth. The most striking feature of eutectic modification is the transition of Si morphology from coarse interconnected plates to fine fibrous and coral-like networks [2, 3]. In addition, it has been observed that a transition of the Si morphology from fibrous to plate-like and back to fibrous can occur during growth of an individual eutectic grain [4, 5]. Several studies showed that nucleation of eutectic Si also plays an important role in modification [5–9]. The addition of modifiers considerably decreases the eutectic grain density leading to an increased size of eutectic grains by at least one order of magnitude [5]. This effect is attributed to a strong interaction of Sr with potent heterogeneous nucleation sites for Si [6, 7, 9].

Beside eutectic modification, evolution of eutectic grain structure is critically influenced by additional alloying and/or impurity elements. For instance, commercial Al-Si based alloys always contain certain amounts of Fe impurities that generally cannot be removed from the melt in a cost efficient

21 way. During solidification, Fe segregates and forms complex intermetallic
22 phases. Several Fe-rich phases such as α -Al₈Fe₂Si or α -Al₁₅(Fe,Mn)₃Si₂, β -
23 Al₅FeSi, δ -Al₄FeSi₂ and π -Al₈Mg₃FeSi₆ have been identified in Al-Si casting
24 alloys, strongly depending on the composition and cooling conditions of the
25 alloy [10–12]. The formation of brittle Fe-rich phases, which appear as nee-
26 dles/plates in the microstructure, can cause adverse effects on castability
27 and mechanical properties of an alloy [10, 13]. Therefore, understanding the
28 formation and the stability of Fe-rich phases is of considerable technological
29 importance. Fe-rich phases in Na- and Sr-modified Al-Si alloys have been ex-
30 tensively studied [14–20], but the influence of Sr on the formation of Fe-rich
31 phases in Al-Si alloys is still under debate [16, 18, 19].

32 To understand microstructure formation in three dimensions (3D), the
33 use of tomographic techniques has become indispensable [21–23]. The ob-
34 jective of the present work is to investigate the 3D morphology of Fe-rich
35 phases in Sr-modified Al-10Si containing 0.1 wt% Fe by focused ion beam
36 (FIB) tomography. The eutectic grain morphology was additionally investi-
37 gated using optical microscopy and scanning electron microscopy (SEM). The
38 structure and composition of Fe-rich phases was identified by transmission
39 electron microscopy (TEM).

40 **2. Experimental**

41 *2.1. Alloy preparation*

42 Al-10Si (wt%) alloys were manufactured by Rheinfelden Alloys GmbH
43 (Rheinfelden, Germany). Approximately 9 kg of commercially pure Al and
44 Si were melted at 760°C in an induction furnace. The melt was degassed in

45 Ar atmosphere for 15 min and additional 5 min in Ar and Cl atmosphere. For
46 modification, an Al-10Sr master alloy was added and the melt was held at
47 760°C for 20 min to ensure complete dissolution. Chemical analysis was then
48 performed using an optical emission spectrometer. The chemical composi-
49 tions of both unmodified and Sr-modified Al-10Si alloy are listed in Table 1.

50 The unmodified and Sr-modified melt were cast into a cylindrical perma-
51 nent mold (30 mm diameter and 200 mm height). The total solidification
52 time was approximately 30-40 s.

53 *2.2. Microstructural characterization*

54 The cast rods were metallographically prepared as previously described
55 in Ref. [22]. All specimens investigated in the present study were extracted
56 from the centers of the castings about 15 mm from the bottom of the ingot.
57 Samples for optical microscopy were etched for 30 s at 20°C in a mixture of
58 60 ml water, 10 g sodium hydroxide and 5 g potassium ferricyanide (modified
59 Murakami’s reagent) to reveal details of the eutectic grain morphology [24,
60 25].

61 For TEM analysis, the samples were prepared by mechanically polishing
62 and Ar ion beam thinning as previously described in Ref. [22]. In order
63 to find the features of interest along electron-transparent regions, the TEM
64 lamella was first inspected in a SEM. The TEM analysis of Fe-rich phases was
65 then carried out using a Philips CM30 microscope operating at 300 kV and
66 equipped with an EDAX Genesis EDX system. The chemical composition of
67 the constituent phases was analyzed by TEM-EDX using a minimum of five
68 measurements for each Fe-rich phase. Crystal structures of the phases were
69 determined by selected area electron diffraction (SAED).

70 For 3D characterization of the eutectic grains, a Zeiss 1540EsB
71 CrossBeam[®] workstation was employed. Two distinct locations in an eu-
72 tectic grain of the Sr-modified alloy were investigated by FIB tomography.
73 FIB serial sectioning was performed using 30 keV Ga ions with an ion beam
74 current of 200 and 1000 pA corresponding to a milling step in z-direction of
75 20 and 35 nm, respectively. A secondary electron (SE) in-lens detector was
76 used for SEM imaging of 2D slices. Due to the low acceleration voltage used
77 for imaging (2 kV), the SE electrons detected give rise to a signal sensitive
78 to the surface conductivity of the material and yields high-resolution images
79 [26].

80 The software ImageJ with the plugin *stackreg* [27] was used to recursively
81 align the image stacks. A variation in signal intensity of imaged 2D slices is
82 caused by shadowing due to the geometry of the FIB/SEM system [28]. 2D
83 image filters were applied to eliminate shadowing effects, remove background
84 and to enhance contrast between eutectic phases. The 3D microstructure of
85 eutectic Si and Fe-rich phases were visualized using the software VGStudio
86 MAX 2.1, after processing with a $5 \times 5 \times 5$ median filter to reduce noise. Ap-
87 plication of global thresholds yields segments of each different phase. Volume
88 fractions of the segments corresponding to eutectic Si and Fe-rich phases were
89 determined.

90 **3. Results**

91 *3.1. Microstructural features*

92 The typical eutectic microstructure of the alloys as investigated by SEM is
93 shown in Fig. 1a without modifier and (b) with addition of 200 ppm Sr. The

94 unmodified eutectic (Fig. 1a) consists of coarse acicular Si plates (dark gray)
95 embedded in an eutectic Al matrix. Fe-rich phases with so-called "Chinese
96 script" morphology (light gray) are uniformly distributed throughout the
97 eutectic microstructure. They are often located along eutectic Si plates, as
98 marked by arrows in Fig. 1a, and exhibit sizes in the order of $5 \mu\text{m}$ (measured
99 on sample surface).

100 The microstructure of the Sr-modified eutectic is illustrated in Fig. 1b.
101 The modified eutectic exhibits the typical fine fibrous Si structure. In ad-
102 dition, the eutectic exhibits a cellular sub-structure with Fe-rich phases of
103 so-called "Chinese script" 2D morphology segregated at the cell boundaries
104 (marked by arrows). At these boundaries the eutectic Si locally exhibits
105 larger fiber spacings than the fine eutectic in the center of the cells. Several
106 Fe-rich phases are also observed adjacent to primary Al dendrites as indicated
107 by the left arrow in Fig. 1b.

108 The eutectic grain structure and Fe-rich phases were enhanced by etching
109 the surface of the Sr-modified alloy and subsequently imaged in the optical
110 microscope using differential interference contrast, see Fig. 2. Many spherical
111 features appear in light red and are surrounded by darker regions (Fig. 2a).
112 Beside the eutectic microstructure, primary Al dendrites are found uniformly
113 distributed across the sample. The spherical features can be attributed to
114 the center of eutectic grains as previously observed by McDonald et al. [5].

115 The area marked by a rectangle in Fig. 2a has been investigated in more
116 detail and is magnified in Fig. 2b. Three distinct regions are found there:

- 117 • **Region 1:** In the center of the circular features, the eutectic is ex-
118 tremely fine-scale and well-modified and the Si appears completely fi-

119 brous. No Fe-rich phases could be observed within this central region.

120 • **Region 2** is the region surrounding region 1 and appears also bright.
121 The eutectic in this transitional band is well-modified, too, but con-
122 tains boundaries between eutectic cells, as observed in Fig. 1b. The
123 boundaries are decorated by segregated Fe-rich phases as marked by
124 the white arrow in region 2 of Fig. 2b.

125 • In **region 3**, the Si fibers exhibit aligned growth, i.e. preferred growth
126 radially from the central region. In addition, thin Fe-rich platelets that
127 are not found in the unmodified eutectic are located in this region,
128 often delineating cell boundaries (marked by white arrows in region 3
129 of Fig. 2b).

130 To unambiguously identify the type of Fe-rich phases in the Sr-modified
131 eutectic, both structure and composition analysis were carried out in the
132 TEM. A Fe-rich phase with "Chinese script" morphology as found in region 2
133 is imaged by bright-field TEM (dark gray) in Fig. 3a. The phase grows
134 without developing facets and has a strongly curved and non-convex surface.
135 The corresponding SAED pattern is displayed in Fig. 3b. The structure
136 of these Fe-rich phase was found to be body-centered cubic (bcc), space
137 group Im3, with a lattice parameter $a=1.253$ nm. From SAED patterns from
138 different areas of the Fe-rich phase segregation in Fig. 3a it was found that
139 the whole phase consists of polycrystallites connected to each other during
140 growth. The average chemical composition of this Fe-rich phase as given in
141 Table 2 indicates a stoichiometry of $\text{Al}_{14}\text{Fe}_3\text{Si}_2$.

142 A bright-field TEM image of Fe-rich platelets (dark gray) is presented in

143 Fig. 3c. Many twins are observable along the growth direction of the platelets
144 indicating faceted growth of this phase along specific directions. The SAED
145 pattern in Fig. 3d taken from the Fe-rich platelet indicated by the arrow in
146 Fig. 3c corresponds to a tetragonal structure with the unit cell of PdGa₅-
147 type and lattice parameters $a=0.614$ nm, $c=0.957$ nm. This structure is in
148 accordance with the Al₃FeSi₂-phase observed in Ref. [29] and designated as
149 Fe-rich δ -phase [30]. In the present study, the Fe-rich δ -phase appears with
150 the slightly different stoichiometry Al₄FeSi₂. The Fe-rich δ -phase contains a
151 higher Si content than the Fe-rich α -phase (see Table 2).

152 In order to investigate the eutectic grain structure on the μm scale, site-
153 specific FIB milling and SEM imaging of 2D slices were performed at three
154 distinct locations in the eutectic grain as indicated in Fig. 2b. As displayed
155 in Fig. 4, 2D slices from (a) well-modified fine eutectic (found not only in
156 region 1, but also in the center of eutectic cells in region 2), (b) boundaries
157 with coarser but still modified eutectic and Fe-rich phases with "Chinese
158 script" morphology (found in region 2), and (c) boundaries with fine Si fibers
159 and Fe-rich platelets (found in region 3) are presented. Stacks of 2D images
160 as shown in Fig. 4b and (c) are further used for 3D visualization of the Fe-rich
161 phases and the adjacent Si eutectic, see chapter 3.2. Gray level variations
162 observed in the Al matrix in Fig. 4 are attributed to channeling contrast.
163 The different gray levels in the Al matrix thus correspond to slightly different
164 crystallographic orientations of individual eutectic Al grains.

165 The fine eutectic in Fig. 4a consists of very fine Si fibers, which appear
166 as round particles in 2D, with fine fiber spacing in the order of $1 \mu\text{m}$ and an
167 eutectic Al matrix with no notable variations in crystallographic orientation.

168 This indicates continuous growth of Al in the fine eutectic regions. At the
169 boundary of the eutectic cells in region 2 (Fig. 4b) the Fe-rich α -phase with
170 typical "Chinese script" morphology (white) is observed. At this boundaries,
171 Si fibers are coarser and less rounded with larger spacing in the order of
172 $2\ \mu\text{m}$. The eutectic Al exhibits boundaries from different Al grains that have
173 impinged on each other (marked by arrows). At the interface of the Al grains
174 the Fe-rich α -phase is segregated as inclusion. It is observed that the size
175 of the Fe-rich α -phases in the Sr-modified alloy are larger than that in the
176 unmodified eutectic, i.e. sizes $> 5\ \mu\text{m}$.

177 The eutectic at the cell boundaries in region 3 (Fig. 4b) exhibits fine
178 Si fibers with slightly different growth directions at both sides of the poly-
179 crystalline Al matrix. Close to the boundaries of the Al grains (marked by
180 arrows) thin Fe-rich δ -platelets (white) are located. These are not observed
181 directly at the interfaces of the Al grains. The Fe-rich δ -platelets can be up
182 to a few μm long (as measured on sample surfaces). They grow anisotrop-
183 ically and are extremely thin ($\leq 250\ \text{nm}$) with respect to their other two
184 dimensions. Furthermore, in the present study, we observed that Fe-rich δ -
185 platelets which appear isolated in two dimensions are often interconnected
186 in 3D.

187 3.2. FIB tomography

188 The 3D microstructure of eutectic Si and Fe-rich α -phase at the cell
189 boundary of the eutectic in region 2 is illustrated in Fig. 5a. FIB serial
190 sectioning was performed along the boundary marked by the arrow in re-
191 gion 2 of Fig. 2b so that the z-axis of the visualized volumes is oriented along
192 the cell boundary. The eutectic Si at the cell boundary does not appear fine

193 and fibrous, but as a mixed structure of thin Si platelets and less rounded fi-
194 brous Si, indicating a gradual transition of the structure from fine fibers into
195 coarse Si plates. This coarse and intermixed Si structure is less branched
196 than the fine Si fibers in the center of the cells. It is observed that the Fe-
197 rich α -phase is precipitated as inclusion at the impingement of two eutectic
198 cells. Figure 5a reveals the 3D shape of the Fe-rich α -phase as thin sheets
199 segregated in an concentrated network.

200 A separate 3D visualization of the Fe-rich α -phase from a different per-
201 spective is shown in Fig. 5b. In 3D, the true shape of the Fe-rich α -phase no
202 more resembles a "Chinese script" but appears with a very complex and non-
203 convex surface reflecting imprints of the surrounding eutectic. Furthermore,
204 it is obvious that the Fe-rich α -phase consists of several phases that have seg-
205 regated at the eutectic boundaries. The estimated volume fractions of eutec-
206 tic Si and Fe-rich α -phase in an investigated volume of $20.6 \times 14.3 \times 11.2 \mu\text{m}^3$
207 are 14.9 vol% and 2.24 vol%, respectively.

208 In Fig. 6a, the 3D microstructure of the Si fibers and Fe-rich δ -platelets
209 at a typical cell boundary in region 3 is displayed. A preferred orientation
210 of the Si fibers towards the Fe-rich δ -platelets is clearly visible. Several
211 morphological features are observed on the surfaces of the Fe-rich δ -platelets,
212 see Fig. 6b. The development of holes in the Fe-rich δ -platelets corresponds
213 to their growth around Si fibers. The lateral growth and thus increase of
214 the thickness of Fe-rich δ -platelets can result in imprints of the surrounding
215 Si fibers being formed on the surface of Fe-rich δ -platelets. The estimated
216 volume fractions of eutectic Si and Fe-rich δ -phase in an investigated volume
217 of $10.2 \times 6.4 \times 5.0 \mu\text{m}^3$ are 18.8 vol% and 1.98 vol%, respectively.

218 4. Discussion

219 The microstructure of the unmodified eutectic in Fig. 1a clearly indi-
220 cates an uniform distribution of the cubic Fe-rich α -phase across the eutectic
221 grains. In 3D, the unmodified eutectic Si forms a highly interconnected and
222 plate-like network [3, 22]. The development of 3D morphology of the Fe-
223 rich α -phase in the unmodified Al-Si alloy has been described by a model
224 proposed in our previous work [22]. The model assumes that the Fe-rich α -
225 phase develops as inclusion in small liquid pockets that get isolated between
226 the unmodified Si plates protruding ahead of the polycrystalline Al matrix.
227 Due to the branching of the Si plates (irregular eutectic) and the relatively
228 high number of eutectic nucleation events, the isolated pockets are uniformly
229 distributed throughout the unmodified eutectic grains.

230 Addition of Sr to the Al-Si alloy refines the eutectic Si from an intercon-
231 nected plate-like network (Fig. 1a) into a fine fibrous morphology (Fig. 1b).
232 In accordance to previous results [5, 25] large eutectic grains with radii
233 $>1000 \mu\text{m}$ were observed in the present work. Furthermore, the eutectic
234 grains exhibit cellular sub-structures as previously reported in Ref. [31] with
235 Fe-rich phases at eutectic cell boundaries. In the present study, three regions
236 with different microstructural features can be assigned within the modified
237 eutectic microstructure of an individual grain:

- 238 • **Region 1:** Center of the grain that is free of eutectic cell boundaries
239 and Fe-rich phases
- 240 • **Region 2:** Transitional region with cellular sub-structure and Fe-rich
241 α -phases of 2D "Chinese script" morphology along eutectic cell bound-

242 aries

243 • **Region 3:** External regions with preferred growth direction of the
244 eutectic Si and Fe-rich δ -platelets in interconnected networks along
245 eutectic cell boundaries

246 According to McDonald et al. [5], three distinct regions with changes of Si
247 morphology are related to the variation of the velocity of the solid-liquid (s-
248 l) interface during eutectic solidification. In contrast to this previous work,
249 less variation in Si morphology is observed in the present study, i.e. no
250 transition to plate-like and back to fibrous morphology could be observed.
251 This is due to the much higher cooling rate of the permanent mold cast alloys
252 investigated here. The more rapid rate of heat extraction in the permanent
253 mold limits the amount of recalescence and grain growth follows a more or
254 less accelerating interface throughout the entire eutectic solidification.

255 The Fe-rich α -phase with cubic crystal structure (bcc, $a=1.253$ nm) and
256 a stoichiometry of ($\text{Al}_{14}\text{Fe}_3\text{Si}_2$) in the Sr-modified Al-10Si alloy is very similar
257 to that found in the unmodified alloy. However, the Fe-rich α -phase in the Sr-
258 modified alloy was found to be more inhomogenously distributed and exhibits
259 coarser morphology than in the unmodified alloy.

260 The second type of Fe-rich phase, namely the metastable δ - Al_4FeSi_2 phase
261 with tetragonal crystal structure (Fig. 3b), thin platelet morphology (Fig. 6b)
262 and nearly equal fraction of Fe and Si (see Table 2) is only found in the Sr-
263 modified alloy. These fine Fe-rich δ -platelets have previously been observed
264 in Sr-modified Al-11Si [32] and Al-15Si [22] alloys.

265 Several studies have mentioned about thin β - Al_5FeSi platelets in both
266 Na-modified [6, 33] and Sr-modified Al-Si alloys [5, 16, 20]. The identifica-

267 tion of such Fe-rich phases has only been based on their morphology and/or
268 EDX analysis but not on their structure determination. However, the Fe-
269 rich monoclinic β -phase with Fe/Si ratio of about 2 was not found in the
270 alloys investigated. Fe-rich phases with platelet morphology are often mis-
271 leadingly identified as Fe-rich β -phase in Al-Si alloys as reported in Ref. [34].
272 Therefore, it can not be ruled out that the thin Fe-rich platelets observed in
273 previous studies [5, 6, 16, 20, 33] in fact correspond to the Fe-rich δ -phase.

274 Due to the comprehensive studies at different length scales, we are able to
275 assign the locations of both Fe-rich phases to the morphological evolution of
276 the eutectic growth front during grain growth. In the following, the formation
277 of both Fe-rich phases with respect to their locations in the eutectic grain
278 is qualitatively explained on the basis of the morphological evolution of the
279 eutectic growth front with addition of Sr and its influence on Fe segregation
280 in the Al-Si melt.

281 *4.1. Region 1: Center of the grain*

282 In the initial stages of eutectic solidification a roughly spherical and cou-
283 pled growth front of the eutectic phases can be assumed [35]. The fine and
284 well-modified eutectic consists of fine Si fibers growing as a coral-like net-
285 work of Si-skeletons embedded in an eutectic Al matrix and is free of Fe-rich
286 phases (see Fig. 4a). The eutectic Al matrix forms a coupled growth front
287 with the coral-like Si-skeletons (regular eutectic) and does not grow in form
288 of polycrystalline sub-grains. Therefore, the eutectic Al exhibits no interfaces
289 with segregated Fe-rich phases in the well-modified central region 1.

290 *4.2. Region 2: Transition region*

291 During grain growth, the roughly spherical eutectic growth front may
292 build up a solute layer of impurity elements ahead of the solid-liquid (s-l)
293 interface leading to a constitutional undercooling at the growth front [36].
294 Figure 7a schematically illustrates the morphological evolution of the eutectic
295 growth front and the suggested locations of crystallization of Fe-rich α -phase
296 (see magenta-colored arrows in Fig. 7a) during grain growth in the transition
297 region 2. Primary Al dendrites are not shown here for clarity.

298 As illustrated in Fig. 7a, the enrichment of impurities (in addition to the
299 decrease of growth velocity due to recalescence) induces a gradual breakdown
300 of the coupled growth front into individual cells in region 2. The local re-
301 duction of the enriched layer due to the formation of isolated liquid pockets
302 in the eutectic grain effectively reduces the amount of constitutional under-
303 cooling at the eutectic growth front. It forms an eutectic mushy zone [36]
304 with the fine eutectic in the center of the cells and coarser eutectic as well
305 as Fe-rich α -phases at the boundaries (see Fig. 1b). Furthermore, the break-
306 down of the coupled growth front and accumulation of impurity elements
307 may preferentially occur at sites of perturbations during growth, e.g. when
308 the grain impinges on "obstacles" such as primary Al dendrites. Therefore,
309 Fe-rich phase segregation from the surrounding solid is likely to occur next
310 to pre-existing Al dendrites as observed in Fig. 1b.

311 Due to the recalescence at the minimum in the cooling curve, the growth
312 of the eutectic grains in the melt is continually slowed down [5]. Solute
313 redistribution calculations [37] suggest that for an accelerating growth front
314 the concentration of elements in the solute layer is continually increasing,

315 whereas the concentration is continually decreasing when the growth front is
316 decelerated. Therefore, the breakdown of the coupled growth front in region 2
317 is additionally favoured by the decreasing concentration of the modifier Sr
318 at the s-l interface of the growth front during decelerated grain growth and
319 before adjacent grains impinge.

320 The microscopically visible transition morphology of the eutectic grains
321 with cellular sub-structure was previously described in Ref. [38] as
322 "cauliflower-shaped" morphology of the eutectic grains. The eutectic growth
323 front in region 2, however, is still roughly spherical and the internal fraction
324 of liquid within region 2 relatively low so that most of the Fe impurities still
325 segregates ahead of the eutectic growth front.

326 *4.3. Region 3*

327 In region 3, the eutectic consists of aligned branches radially extending
328 from the spherical center as visible in Fig. 2b. In this stage, the eutectic
329 grain morphology transforms completely into a cellular eutectic and develops
330 strongly pronounced depressions, as schematically illustrated in Fig. 7b. The
331 evolution of interface perturbations of the initially spherical and coupled s-l
332 interface of eutectic grains were recently observed in 3D during directional
333 solidification of an Al-9Si-15Cu alloy modified by 150 ppm Sr [23]. This
334 supports our interpretation of the microstructure in region 3. The eutectic
335 microstructure consists in region 3 of eutectic cells with separated coral-like
336 Si-skeletons that grow radially from the center (see Fig. 2b) with slightly
337 different orientations of the cells (i.e. different fiber spacing). Within the
338 eutectic cells there is still coupled growth of Si fibers with the Al matrix.

339 The transition from fine eutectic with spherical front to cellular eutectic in

340 the Sr-modified Al-10Si alloy is attributed to a destabilization of the eutectic
341 interface due to the segregation of impurity elements. Similar suggestions to
342 explain the morphological transition from spherical to cellular growth front
343 have been made in binary Sn-Cu solder alloys [39].

344 It can be concluded that the outwardly directed growth of the coral-like
345 Si-skeletons and the evolution of eutectic cells occurs due to an anisotropy
346 of the interfacial energy γ_{s-l} , most likely induced by impurity elements in
347 combination with the increase of growth velocity of the grains during late
348 stages of solidification.

349 Since the thin Fe-rich δ -platelets are mainly observed at boundaries in
350 region 3 of the eutectic grains, it can be assumed that Fe-rich δ -nucleation
351 occurs simultaneously during the final stages of Al-Si eutectic reaction. Fe-
352 rich δ -platelets are likely to have formed after severe enrichment of Fe in
353 a Si-rich melt during a second wave of nucleation subject to the availabil-
354 ity of suitable nucleants that become activated at higher undercooling [25].
355 This second wave of nucleation corresponding to second maximum in the
356 undercooling has been previously observed in lamellar graphite cast iron and
357 has been termed "secondary nucleation" [40, 41]. Two suggested locations
358 of crystallization of Fe-rich δ -platelets are marked by the magenta-colored
359 arrows in Fig. 7b.

360 4.4. *Microstructure of the eutectic grain*

361 Fig. 7c schematically shows the solidified eutectic microstructure in the
362 Sr-modified Al-10Si alloy and the location of the Fe-rich phases in region 2
363 and 3 of the eutectic grain. In the following, the formation of the two Fe-rich
364 phases with respect to their 3D morphology and growth kinetics is discussed.

365 *4.4.1. Formation of Fe-rich α -phase*

366 The Fe-rich α -phase was found in networks of branched sheets (Fig. 5)
367 mainly in the transition region 2 at the boundaries of eutectic cells. The
368 Fe-rich α -phase is schematically shown in Fig. 7c with its "Chinese script"
369 2D morphology (magenta-colored in region 2). The 3D morphology is very
370 complex and deviates considerably from a convex shape (see Fig. 5). The
371 Fe-rich δ -phases exhibit the 3D shape of thin sheet-shaped inclusions with
372 strongly curved surfaces. Their sheet-shaped morphology is similar to that
373 in the unmodified alloy. Therefore, it can be assumed that they are formed
374 by the mechanism described in [22], i.e. during late stages of solidification in
375 isolated liquid pockets that are enriched in Fe. However, these isolated liquid
376 pockets are not as finely distributed as in the unmodified eutectic grains, but
377 exist as liquid channels with increased sizes in the eutectic mushy zone of
378 region 2.

379 During further eutectic growth, Fe is rejected from each growing eutectic
380 cell and accumulates in the liquid channels between the cells. At late stages
381 of solidification, the Fe-rich α -phase finally solidifies as interconnected thin
382 "sheet-shaped" inclusion in concentrated networks along eutectic cell bound-
383 aries as observed in Fig. 1b and region 2 of Fig. 2b. It can be concluded that
384 the Fe-rich α -sheets mark the impingement of two separately grown coral-
385 like Si-skeletons represented by the impingement of their polycrystalline Al
386 grains, see Fig. 4b.

387 At the boundaries in region 2, the eutectic Si appears coarser, less spher-
388 ical and less branched (Fig. 4b), most likely due to the lack of Sr during final
389 impingement of the eutectic cell resulting in incomplete modification of the

390 Si morphology.

391 4.4.2. Formation of Fe-rich δ -platelets

392 The Fe-rich δ -platelets (see Fig. 6) are located at interconnected networks
393 along eutectic cell boundaries in the external region 3 of the grains. Assuming
394 that these platelets precipitate during secondary nucleation events, this will
395 occur during the higher undercoolings at the end of solidification. Beside
396 nucleation the stability of phases formed depends on local cooling conditions.

397 Formation of Fe-rich β -phase is generally favored by low cooling rates
398 [12], whereas the formation of Fe-rich δ -platelets is strongly promoted by high
399 cooling rates, i.e. high undercooling [42]. Considering the Gibbs free energies
400 for the formation of both the Fe-rich β -phase (25 wt% Fe and 12 wt% Si
401 [43]) and the Fe-rich δ -phase (27 wt% Fe und 24.5 wt% Si, see Table 2) using
402 enthalpies of mixing of binary systems provided by Ref. [44] and temperatures
403 below the minimum in the cooling curve (beginning of secondary nucleation,
404 e.g. below 570°C), the calculated Gibbs free energy of Fe-rich δ -phase (-
405 15 kJ/mol) is more negative than that of the Fe-rich β -phase (-12 kJ/mol).
406 This is a plausible explanation for the preferred formation of the Fe-rich
407 δ -phase. Thus, after severe enrichment of Fe and as the rate of cooling
408 increases in the final stages of solidification, Fe-rich δ -platelets precipitate in
409 the remaining melt.

410 It has been reported that primary Fe-rich β -plates that form prior to the
411 eutectic reaction act as potent nucleation sites for eutectic Si [17, 45]. In
412 the present work it cannot be confirmed that Fe-rich δ -platelets are active
413 nucleants for eutectic grains. However, the formation of fiber imprints on the
414 surfaces of the Fe-rich δ -platelets (see Fig. 6b) can only be explained when

415 the lateral growth of the thickness of Fe-rich platelets follows the surface of
416 already existing Si fibers. In addition, the formation of holes and the growth
417 around Si fibers as observed in Fig. 6a supports that Si fibers exists prior to
418 the growth of Fe-rich δ -platelets.

419 Not being able to nucleate new eutectic grains, the Fe-rich δ -platelets
420 are engulfed by the impinging branches of cellular eutectic and hence are
421 pushed to the cell and grain boundaries at the end of solidification. The
422 "branching" occurrence of Fe-rich δ -platelets appears to be dictated by later
423 impingement of different platelets and may not be crystallographically related
424 (e.g. twinning). The growth kinetics of the highly faceted Fe-rich δ -platelets
425 is anisotropic and it can be assumed that growth of Fe-rich δ -platelets is
426 dictated by the anisotropy of the s-l interfacial energy of the eutectic growth
427 front in the late stages of solidification.

428 When eutectic solidification is completed, the eutectic grains exhibit a
429 cellular sub-structure with inclusions of Fe-rich α -phases at boundaries in
430 transition region 2 and Fe-rich δ -platelets along eutectic cell boundaries in
431 the external region 3, see Fig. 7c. Furthermore, at impingement of eutectic
432 grains Fe-rich phases are also trapped at the grain boundaries. The cellular
433 sub-structures of the eutectic grains could be observed since the eutectic mi-
434 crostructure of grains approximately sectioned through their center were in-
435 vestigated. In 2D sections of unetched samples the sub-structures are hardly
436 visible and are often superimposed by other truncated grains.

437 **5. Summary**

438 By comparing unmodified and Sr-modified (200 ppm) Al-10Si alloys we
439 found:

- 440 • Three regions of eutectic grains in Sr-modified alloy occur: (1) a roughly
441 spherical central region with fine eutectic consisting of fine Si fibers with
442 coupled growth of Al and Si and no Fe-rich phases; (2) a transition
443 region around the central region with departure from spherical growth
444 and Fe-rich α -phase in concentrated networks along the eutectic cell
445 boundaries; (3) external regions with the evolution of a cellular eutectic
446 and thin Fe-rich platelets (δ -phase) at the eutectic cell boundaries
- 447 • The small-scale Fe-rich α -phase uniformly distributed was found to
448 form in the unmodified alloy whereas larger Fe-rich α -phases were found
449 in the Sr-modified alloy due to enhanced Fe segregation at the coupled
450 growth front;
- 451 • The mechanism of formation of Fe-rich α -phases in both Sr-modified
452 and unmodified alloy seems to be the same due to similar 3D morphol-
453 ogy of the phases;
- 454 • the formation of metastable Fe-rich δ -platelets in external regions of
455 eutectic grains was found in the modified alloy only;
- 456 • the distribution of Fe-rich phases in eutectic grains of the Sr-modified
457 alloy and the evolution of a cell-like sub-structure can be qualitatively
458 explained by the morphological evolution of the s-l interface during
459 growth of eutectic grains.

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Table 1: **Chemical composition of the unmodified and Sr-modified Al-10Si alloy with main elements Al, Si, Fe (in wt%) and additional impurity levels (in ppm).**

Alloy	Al	Si	Fe	Cu	Mn	Mg	Cr	Ti	Ni	Ga	V	P	Sr
	wt%												
Unmodified	89.8	10.1	0.1	10	20	10	11	61	38	41	102	3	<1
Sr-modified	89.8	10.0	0.1	10	20	10	11	60	38	42	102	4	201

Table 2: **Crystal structure and average composition of Fe-rich phase (in at%) in the eutetic grains as measured by selected area electron diffraction and energy dispersive spectroscopy, respectively, in the transmission electron microscope. The morphology of Fe-rich phase known as "Chinese script" in 2D corresponds to the thin sheet-shaped morphology observed in 3D. The Fe/Si ratio was calculated from wt% for comparison with literature data.**

Fe-rich phase	3D Morphology	Crystal structure	Lattice parameters	Al	Si	Fe	Fe/Si
			nm	at%			
α	Branched sheets	Cubic (bcc)	a=1.253	73.6	10.4	16.0	3.1
δ	Platelets	Tetragonal	a=0.614; c=0.957	57.1	27.6	15.3	1.1

543 **Figures**

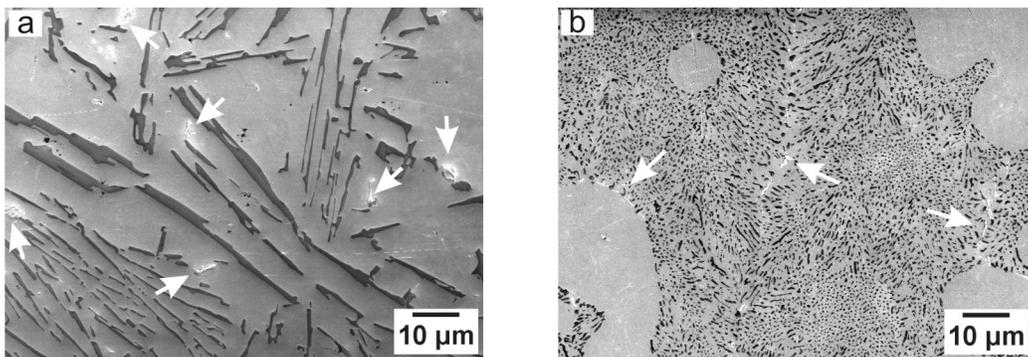


Figure 1: SEM images showing the microstructure of (a) unmodified and (b) Sr-modified Al-10Si alloy. Fe-rich phases in both alloys are marked by arrows.

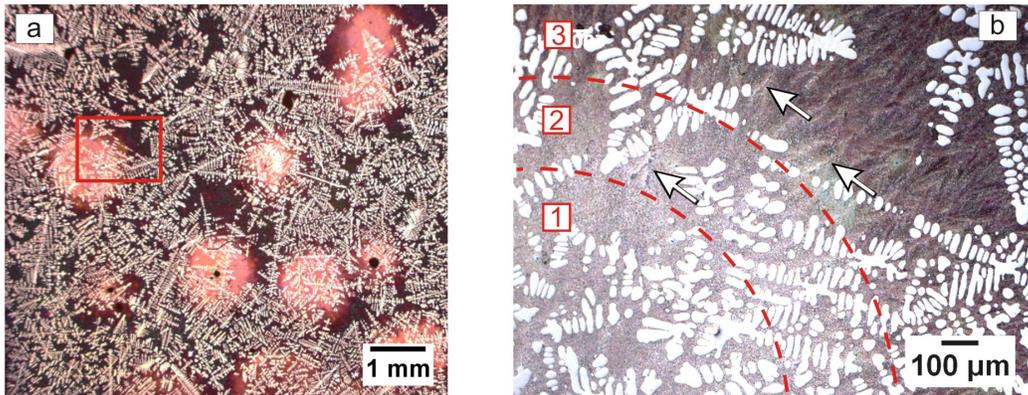


Figure 2: Optical micrographs taken from the etched surface of the Sr-modified Al-10Si alloy obtained using differential interference contrast. (a) Light red areas are central regions of eutectic grains and dark areas are external regions. The area marked by the rectangle is magnified in (b). Three regions with different microstructural features of an eutectic grain are labelled: central region 1 that contains no Fe-rich phases; region 2 exhibiting transitional cell-like structure with Fe-rich phases at cell boundaries (marked by arrow); region 3 exhibiting modified eutectic with aligned Si growth and Fe-rich platelets at cell boundaries (marked by arrow).

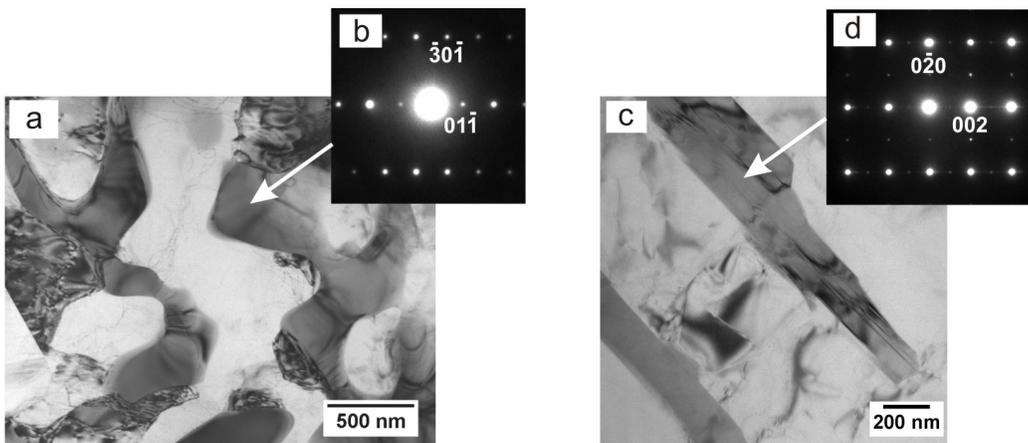


Figure 3: Bright-field TEM images of microstructural features obtained from different locations in the eutectic grain of the Sr-modified Al-10Si alloy: (a) Fe-rich α -phase with "Chinese script" morphology (dark gray) as observed in region 2 of Fig. 2(b); (b) SAED pattern of the Fe-rich α -phase along the $[\bar{1}33]$ zone axis; (c) Fe-rich δ -phase with platelet morphology (dark gray) as observed in region 3 of Fig. 2(b); (d) SAED pattern obtained from the Fe-rich δ -platelet along the $[100]$ zone axis.

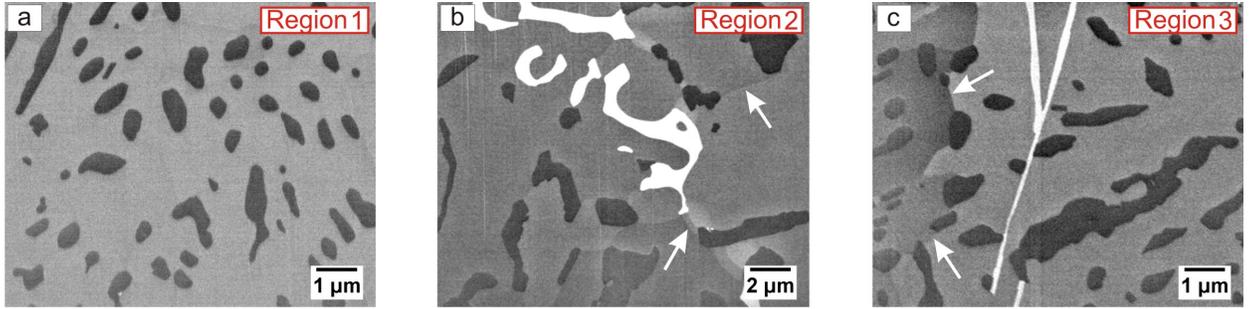


Figure 4: Microstructural features observed at different locations in the eutectic grain of the Sr-modified Al-10Si alloy imaged by SE (in-lens) detector in SEM: (a) very fine eutectic containing fibrous Si (dark) belongs to the region 1 of Fig. 2(b), no Fe-rich phases are visible; (b) coarse eutectic containing Fe-rich α -phase with "Chinese script" morphology (white) found at boundaries in region 2 of Fig. 2(b); (c) eutectic with aligned Si growth containing Fe-rich δ -platelets found at boundaries in region 3 of Fig. 2(b). The arrows indicate interfaces between individual grains of eutectic Al.

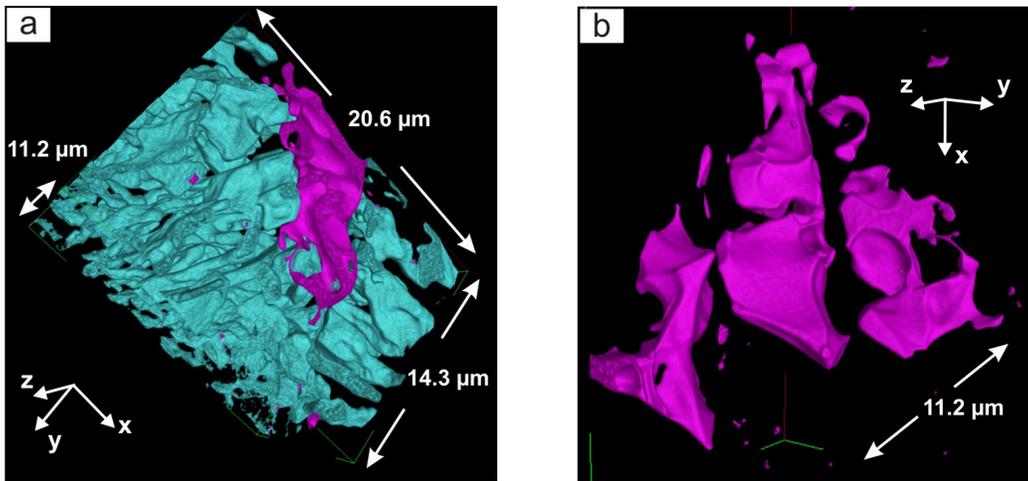


Figure 5: FIB tomography of Sr-modified Al-10Si alloy: (a) 3D morphology of eutectic Si (in cyan) and Fe-rich α -phase (in magenta) observed in region 2 of Fig. 2(b). (b) Fe-rich α -phase visualized without the adjacent eutectic Si.

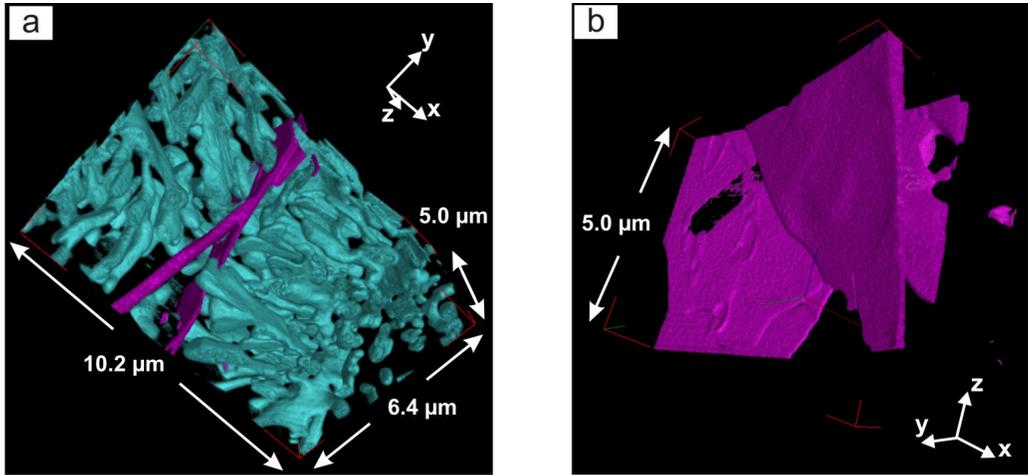


Figure 6: FIB tomography of Sr-modified Al-10Si alloy: (a) 3D morphology of eutectic Si (in cyan) and Fe-rich δ -platelets (in magenta) observed in region 3 of Fig. 2(b). (b) Fe-rich δ -platelets visualized without the adjacent eutectic Si.

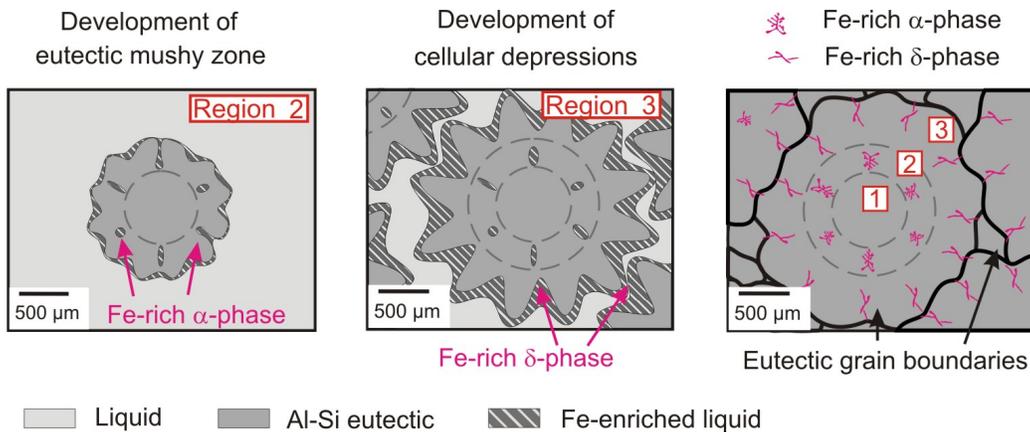


Figure 7: (a) Schematic illustration of the morphological evolution of eutectic growth interface as well as location of both Fe-rich phases in the eutectic grains in Sr-modified alloys containing further impurities such as Fe. Primary Al dendrites are not shown for clarity. (a) Region 2; (b) region 3; (c) solidified eutectic grains structure.